### Jammu & Kashmir State Electricity Regulatory Commission



#### JAMMU AND KASHMIR STATE ELECTRICITY REGULATORY COMMISSION SRINAGAR

#### Notification No.:43-JKSERC of 2015 Dated: 10.07.2015

### JKSERC (Terms and Conditions of Intra-State Open Access) Regulations, 2015.

In exercise of the powers conferred by section 138, read with sections 33, 34, 36 and 71 of the Jammu and Kashmir Electricity Act, 2010 (Act XIII of 2010) and all other powers enabling it in this behalf, the Jammu and Kashmir State Electricity Regulatory Commission (J&KSERC) hereby makes the J&KSERC (Terms and Conditions of Intra State Open Access) Regulations in replacement of J&KSERC (Open Access in Intra State Transmission and Distribution) Regulations notified on 25<sup>th</sup> January 2006. Following are the details of new Regulations:-

#### **CHAPTER 1**

#### **PRELIMINARY**

#### 1. Short Title, Extent and Commencement

- (1) These regulations may be called the Jammu and Kashmir State Electricity Regulatory Commission (Terms and Conditions of Intra-State Open Access) Regulations, 2015.
- (2) These regulations shall come into force from the date of publication in the Government Gazette.

#### 2. Scope

These regulations shall apply to open access for use of intra-State transmission system and distribution systems in the State, including when such system is used in conjunction with inter-State transmission system.



#### 3. Definitions

In these regulations, unless the context otherwise requires:

- (1) "Act" means the Jammu and Kashmir State Electricity Act, 2010 (Act No. XIII of 2010);
- (2) "Allotted Capacity" means the power transfer in MW between the specified point(s) of injection and point(s) of drawal allowed to a long-term/medium-term customer on the intra-State transmission system and the expression "allotment of capacity" shall be construed accordingly;
- (3) "Applicant" means a consumer, trader, distribution licensee or a Generating station who has applied seeking connectivity or open access as the case may be;
- (4) "Central Commission" means the Central Electricity Regulatory Commission referred to in Section 76 of the Indian Electricity Act 2003;
- (5) "Commission" means the Jammu and Kashmir State Electricity Regulatory Commission referred to in Section 64 of the Act;
- (6) The word 'consumer' shall carry the same meaning as in the Act, but shall be restricted to such consumers within the State of Jammu and Kashmir State to whom these regulations will apply.
- (7) "Contracted load" means the load in kW/HP/kVA (kilo Watt/Horse Power/kilo Volt Ampere) which the distribution licensee has agreed to supply from time to time subject to the governing terms and conditions and is different from the connected load;

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- (8) "Composite Operating Charges or Operating Charges" mean the charges payable by an Open Access customers for each transactions to the SLDC as determined by the Commission and includes the fee for scheduling, system operation, energy accounting, fee for effecting revision in schedule on bonafide grounds and collection & disbursement of charges.
- (9) "Day" means a day starting at 00.00 hours and ending at 24.00 hours;
- (10) "Distribution licensee" means any person licensed under Section 14 of the Act for distribution and retail supply of electricity in the State of Jammu and Kashmir;
- (11) "Distribution System" means a system or wires and associated facilities between delivery points on the transmission lines or generating station and the point of connection to the installation of the consumers;
- (12) "Embedded open access consumer (or in short embedded consumer) means consumer who has a supply agreement with the distribution licensee in whose area of supply the consumer is located and avails the option of drawing part or full of its demand from any other person under open access, in any one or more time slots during a day or more, in any month or more during the year, without ceasing to be a consumer of the said distribution licensee and continues to pay monthly demand charges and other charges as per rate schedule applicable to relevant category.

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- (13) "IEGC" means Indian Electricity Grid Code Specified by Central Electricity Regulatory Commission under clause (h) of sub-section (1) of section 79 of the Indian Electricity Act, 2003 and as amended from time to time;
- (14) "Imbalance" in a time block for a generating station means its total actual generation minus its total scheduled generation and for a consumer or buyer means its total drawal minus its total scheduled drawal.
- (15) "Limited short-term open access consumer" means consumer who has a supply agreement with the distribution licensee in whose area of supply the consumer is located and avails open access in distribution and/or transmission system only during pre-scheduled load shedding due to shortage of power.
- (16) "Long-term access" means the right to use the intra-State transmission system or distribution system for a period exceeding 12 years but not exceeding 25 years.
- (17) "Medium-term open access" means the open access for a period exceeding three months but not exceeding three years
- (18) "Month" means a calendar month as per the Gregorian calendar;
- (19) "Nodal agency" means the nodal agency defined in Regulation 13(2) of these regulations;
- (20) "Open access" means the non-discriminatory provision for the use of transmission lines or distribution system or associated facilities with such lines or system by any

licensee or consumer or a generating station in accordance with these regulations and includes long-term access, medium-term open access and short-term open access.

- (21) "Open access customer (in short customer)" means a consumer, trader, distribution licensee or a generating station who has been granted open access under these regulations.
- (22) "Open access consumer" means a consumer, who has been granted open access under these regulations.
- (23) "Reserved Capacity" means the power transfer in MW between the specified point(s) of injection and point(s) of drawal allowed to a short-term customer on the transmission/distribution system depending on availability of transmission/distribution capacity and the expression "reservation of capacity" shall be construed accordingly;
- (24) "Short-term open access" means open access for a period up to one month at a time.
- (25) "SLDC" means the State Load Dispatch Centre established under sub-section (1) of section 26 of the Act;
- (26) "State" means the State of Jammu and Kashmir;
- (27) "State Grid Code" means the State Grid Code specified by the Commission under clause (h) of sub-section (1) of section 71 of the Act, applicable on the date of



commencement of these regulations and as amended from time to time;

- (28) "State Transmission Utility (STU)" means the Government Company/Corporation notified by the State Government under sub-section (1) of section 33 of the Act;
- (29) "Transmission licensee" means any person licensed under part IV of the Act for transmission of electricity in the State of Jammu and Kashmir.
- (30) "Transmission System Segment" means a part or whole of the transmission system from the point of injection to the point of drawal.
- (31) "Wheeling" means the operation whereby the distribution system and associated facilities of a transmission Licensee or distribution Licensee, as the case may be, are used by another person for the conveyance of electricity on payment of charges to be determined under section 56 of the Act;
- (32) All words and expressions used and not defined in these regulations but defined in the Act or IEGC or the State Grid Code, or Supply code shall have the meaning assigned to them under the Act or the IEGC or the State Grid Code, or Supply Code as the case may be.
- (33) The General Clauses Act, 1977 svt. (Act XX), as amended from time to time shall apply for the interpretation of these regulations as it applies for interpretation of an Act of Legislature.



## CHAPTER 2 CONNECTIVITY

#### 4. Connectivity

- (1) A Consumer having load of 10 MW and above or a Generating Station having capacity of 10 MW and above shall be eligible to obtain connectivity at 132 kV or above, unless already connected, and shall apply for connectivity, in accordance with the provisions in this chapter.
- (2) A Consumer having load of less than 10 MW or a Generating Station having installed capacity not exceeding 10 MW shall be eligible to obtain connectivity at 66 kV/33 kV, unless already connected, and shall apply for connectivity as per the provisions of these regulations, , in accordance with the provisions in this chapter.

### 5. Application procedure for Connectivity at 132 kV or above

- (1) Applicant shall apply to the STU for connectivity in the Form prescribed in the detailed procedure to be laid down by the STU.
- (2) The Application shall be accompanied by a nonrefundable fee of Rs. Two lakh through demand draft in favour of State Transmission Utility payable at Jammu/Srinagar.
- (3) The Application for connectivity shall contain details such as, proposed geographical location of the applicant, quantum of power to be interchanged that is the quantum of power to be injected in the case of a

generating station including a captive generating plant and quantum of power to be drawn in the case of a consumer, with the intra-State transmission system and such other details as may be laid down by the State Transmission Utility in the detailed procedure:

Provided that in cases where once an application has been filed and thereafter there has been any material change in the location of the applicant or change, by more than 10 percent in the quantum of power to be interchanged with the intra-State transmission system, the applicant shall make a fresh application, which shall be considered in accordance with these regulations.

#### Processing of Application and Grant of connectivity to STU/transmission licensee

- (1) On receipt of the application, the STU shall, in consultation and through coordination with SLDC and other agencies involved in the intra-State transmission, process the application and carry out the necessary interconnection study as specified in the Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007, amended up-to-date.
- (2) The State Transmission Utility shall, within thirty (30) days, from the receipt of an application under subregulation (1) above and after considering all suggestions and comments received from other agencies involved in the intra-State transmission including State Load Despatch Centre:
  - (a) Accept the application with such modification or such conditions as may be specified by them;



- (b) Reject the application for reasons to be recorded in writing if such application is not in accordance with the provisions of these Regulations.
- (3) In case of acceptance of an application as per clause (a) of sub-regulation (2) above, the State Transmission Utility shall make a formal offer to the applicant:

Provided that the State Transmission Utility shall forward a copy of the offer to the appropriate intra-State transmission Licensee in case connectivity is granted to the intra-State transmission system of an intra-State transmission licensee other than the State Transmission Utility.

- (4) The voltage level at which the Applicant is offered to be connected to the intra-State transmission system shall be governed by Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007 and prevailing guidelines adopted by the State Transmission Utility.
- (5) The State Transmission Utility shall, upon compliance of the required conditions by the applicant and intra-State transmission licensees including the State Transmission Utility, shall notify the concerned applicant that it can be connected to the intra-State transmission system.
- (6) The Applicant shall sign a connection agreement with the State Transmission Utility or intra-State transmission licensee owning the sub-station or pooling station or switchyard or the transmission line as identified by the STU where connectivity is being granted:

Provided that in case connectivity of a generating station, including captive generating plant or consumer is granted to the intra-State transmission system of an intra-State transmission licensee other than the State Transmission Utility, a tripartite agreement as provided in the Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007 shall be signed between the applicant, the State Transmission Utility and such intra-State transmission licensee: Provided further the State Load Despatch Centre shall also be provided with a copy of the above STU/ mentioned Connection Agreement by the intra-State transmission Licensee.

- (7) While granting connectivity, the STU shall specify the name of the sub-station or pooling station or switchyard where connectivity is to be granted. In case connectivity is to be granted by looping-in and looping-out of an existing or proposed line, the STU shall specify the point of connection and name of the line at which connectivity is to be granted. The STU shall indicate the broad design features of the dedicated transmission line and the timeframe for completion of the dedicated transmission line.
- (8) The applicant and all intra-State transmission licensees including the State Transmission Utility shall comply with the provisions of Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007. The grant of connectivity shall not entitle an applicant to interchange any power with the grid unless it obtains long-term access, medium-term open access or short-term open access in accordance with the provisions of these regulations.

- (9)A generating station, including captive generating plant which has been granted connectivity to the grid shall be allowed to undertake testing including full load testing by injecting its infirm power into the grid before being put into commercial operation, even before availing any type of open access, after obtaining permission of the State Load Despatch Centre, which shall keep grid security in while granting such permission. Commercial treatment of such infirm power from a generating station or a unit thereof, other than those based on nonconventional energy sources, the tariff of which is determined by the Commission, will be governed by the applicable regulations on Terms and Conditions of Tariff. The power injected into the grid from other generating stations as a result of such testing shall be charged at the rates for imbalance determined by the Commission (UI rates where rates of imbalance have not been notified by the Commission).
- (10) An applicant may be required by the State Transmission Utility to construct a dedicated line to the point of connection to enable connectivity to the grid, unless exempted by the Commission for reasons to be recorded in writing. The Cost of such line shall be borne by the applicant.

## 7. Application procedure for Connectivity to distribution system by a generating station

 All eligible generating stations including a captive generating plant, seeking connectivity to the distribution system, shall apply to the distribution licensee for connectivity in the Form prescribed in the procedure to be laid down by the Distribution Licensee.

- (2) The Application shall be accompanied by a nonrefundable fee of Rs. Two lakh through demand draft in favour of the Distribution Licensee payable at Jammu/ Srinagar.
- (3) The application for connectivity shall contain details such as, proposed geographical location of the generating station, quantum of power to be injected and such other details as may be laid down by the distribution licensee concerned in the procedure.

### 8. Processing of Application and Grant of connectivity to distribution system by a generating station

- (1) On receipt of the application, the distribution licensee shall, in consultation and through coordination with State Transmission Utility & SLDC process the application and carry out the necessary inter-connection study as specified in the Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007.
- (2) While granting connectivity, the distribution licensee shall specify the name of the sub-station or pooling station or switchyard where connectivity is to be granted. In case connectivity is to be granted by looping-in and looping-out of an existing or proposed line, the distribution licensee shall specify the point of connection and name of the line at which connectivity is to be granted.



- (3) The distribution licensee shall indicate the broad design features such as switchyard and interconnection facility upto the point of injection into the Substation of the distribution licensee and the timeframe for completion of the same. The cost of creation of these facilities shall be borne by the Generating Company. In cases where augmentation of the distribution licensee's sub-station is involved, the generating station shall also bear the cost of bay, switchgear in the distribution licensee's substation and equipment for inter-connection of real time data to SLDC.
- (4) The applicant and the distribution licensee shall comply with the provisions of Central Electricity Authority (Technical Standards for Connectivity to the Grid) Regulations, 2007, as amended up-to-date.
- (5) The applicant shall sign a connection agreement with the distribution licensee where connectivity is being granted.
- (6) The grant of connectivity shall not entitle an applicant to interchange any power with the grid unless it obtains long-term access, medium-term open access or shortterm open access in accordance with the provisions of these regulations.
- (7) A generating station, including captive generating plant which has been granted connectivity to the distribution system shall be allowed to undertake testing including full load testing by injecting its infirm power into the grid before being put into commercial operation, even before availing any type of open access, after obtaining permission of the State Load Despatch Centre and the

distribution licensee, who shall keep grid security in view while granting such permission. Commercial treatment of such infirm power from a generating station or a unit thereof, other than those based on non-conventional energy sources, the tariff of which is determined by the Commission, will be governed by the applicable regulations on Terms and Conditions of Tariff. The power injected into the grid from other generating stations as a result of such testing shall be charged at the rates for imbalance determined by the Commission (*UI rates where rates of imbalance have not been notified by the Commission*).

### 9. Application procedure for Connectivity to distribution system by a Consumer

Connectivity to distribution system by a consumer shall be governed as per procedure laid down in J&K State Electricity Supply Code & J&K State Distribution Code.

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#### **CHAPTER-3**

#### **GENERAL PROVISIONS FOR OPEN ACCESS**

#### 10. Eligibility for Open Access and conditions to be satisfied

- (1) Subject to the provisions of these regulations, the licensees, generating companies, captive generating plants and consumers shall be eligible for open access to the intra-State transmission system of the State Transmission Utility or any transmission licensee on payment of transmission and other charges as may be determined by the Commission in accordance with Chapter 5 of these regulations.
- (2) Subject to the provisions of these regulations, the licensees, generating stations, captive generating plants and consumers shall be eligible for open access to distribution system of a distribution licensee on payment of the wheeling and other charges as may be determined by the Commission in accordance with Chapter 5 of these regulations.
- (3) Subject to the provisions of these regulations, open access shall be permissible to the consumers, located within the area of the distribution licensee of the State, having a contracted load of 1 (one) MW and above and connected to the distribution system of licensee at 33 kV or above, seeking open access capacity and are connected through an independent feeder emanating from a substation of licensee or industrial feeder provided that all the consumers on such industrial feeder opt for

open access and having simultaneous schedule of drawal under such open access:

Provided that the consumers who are not on independent feeders, shall be allowed open access subject to the condition that they agree to rostering restrictions imposed by utility on the feeders serving them:

Provided further that duties of the distribution licensee with respect to such open access consumers shall be of a common carrier providing non-discriminatory open access as per Section 36(3) of the Act.

(4) A person having been declared insolvent or bankrupt or having outstanding dues against him for more than two months billing of distribution/transmission licensee at the time of application shall not be eligible for open access.

### 11. Criteria for granting long-term access or medium-term or short-term open access

- Before awarding long-term access, the State Transmission/Distribution Utility shall have due regard to the augmentation required for the intra-State transmission/distribution system.
- (2) Medium-term open access or short-term open access shall be granted if the resultant power flow can be accommodated in the existing transmission/distribution system or the transmission/distribution system under execution:



Provided that no augmentation shall be carried out to the transmission/distribution system for the sole purpose of granting medium-term open access or short-term open access:

Provided further that construction of a dedicated transmission/distribution feeder line shall not be construed as augmentation of the transmission/distribution system for the purpose of this regulation.

#### **CHAPTER 4**

#### **APPLICATION PROCEDURE AND APPROVAL**

#### 12. Categories of Open Access Consumers

The application procedure, application fee and the time frame of processing request by eligible consumers seeking Open Access shall be based on the following criteria

- (1) System to which connected
  - (a) Intra-State transmission system
  - (b) Distribution system
- (2) Inter-se location of drawal and injection points
  - (a) Both within the same distribution system
  - (b) within the State but in different distribution systems
  - (c) injection point in the Intra-State transmission system within the State
  - (d) In different States
- (3) Duration of Open Access
  - (a) Long term access
  - (b) Medium-term open access
  - (c) Short-term open access

#### 13. Application procedure for Open Access

- (1) All applications for open access shall be made in the prescribed Form and submitted to the Nodal agency in accordance with these regulations.
- (2) The Nodal agency, Application fee, Documents to accompany the application and time frame for disposal of application are specified in the following Tables:



#### **Table 1 Open Access Consumers Connected to Distribution System.**

| S.No | Period             | Inter-se<br>location of   | Nodal<br>agency                                       | Application fee (Rs.)           |                              | Documents to accompany   | Time frame for disposal of   | Applicable Charges  | Applicable Losses   |
|------|--------------------|---|---|---------------------------------|------------------------------|--|--|---|---|
|      |                    | drawal and<br>injection<br>point  | <b>J</b>  | For capacity of 10 MW and above | For capacity less than 10 MW | the application  | application<br>(days from the<br>receipt of<br>application)  |   |   |
| 1.   |                    | Both within<br>the same<br>Distribution<br>licensee   | Concerned<br>Distribution<br>Licensee                 | 2000                            | 2000                         | Proof of payment of<br>Application fee.  | <ul> <li>7 working days in case STOA applied for first time.</li> <li>3 working days on subsequent STOA applications.</li> </ul> | <ul> <li>Wheeling Charge</li> <li>Cross Subsidy surcharge,</li> <li>Additional surcharge, if any</li> <li>Stand-by charges, if any</li> <li>Imbalance and Reactive Energy Charges, as applicable.</li> </ul>  | Distribution loss in<br>kind at relevant<br>voltage level.  |
| 2.   | Access             | Both within<br>the same<br>State but in<br>areas of<br>different<br>Distribution<br>Licensees | SLDC  | 5000                            | 5000                         | Proof of payment of Application fee,     Consent from concerned Distribution licensees.  | 7 working days in case STOA applied for first time.  • 3 working days on subsequent TOA applications.                            | <ul> <li>Wheeling Charge</li> <li>Cross Subsidy surcharge,</li> <li>Additional, if any</li> <li>Stand-by charges, if</li> <li>Imbalance and Reactive</li> <li>Energy Charges, as applicable.</li> <li>Scheduling and system</li> <li>Operating Charges as applicable.</li> <li>Transmission charge</li> <li>(Intra-State)</li> </ul>      | Distribution loss in kind at relevant voltage level in respect of both Distribution Licensees     Transmission loss in kind. (Intra-State)  |
| 3.   | Short-Term Open Ac | Injection point in the intra-State transmission system within the State                       | SLDC  | 5000                            | 5000                         | <ul> <li>Proof of payment of<br/>Application fee,</li> <li>Consent from<br/>concerned Distribution<br/>licensees.</li> </ul>                             | <ul> <li>7 working days in case STOA applied for first time.</li> <li>3 working days on subsequent STOA applications.</li> </ul> | <ul> <li>Wheeling Charge</li> <li>Cross Subsidy surcharge,</li> <li>Additional surcharge, if any</li> <li>Stand-by charges, if any</li> <li>Imbalance and Reactive Energy Charges, as applicable.</li> <li>Scheduling and system Operating Charges, as applicable.</li> <li>Transmission charge (Intra-State)</li> </ul>                  | <ul> <li>Distribution loss in kind at relevant voltage level.</li> <li>Transmission Loss in kind. (Intra-State)</li> </ul>                  |
| 4.   |                    | In different<br>States  | RLDC of the<br>region where<br>consumer is<br>located | 5000                            | 5000                         | <ul> <li>Proof of payment of<br/>Application fee,</li> <li>Consent from<br/>concerned SLDCs and<br/>Distribution licensees as<br/>applicable,</li> </ul> | As per Central<br>Commission's Regulation  | <ul> <li>Wheeling Charges</li> <li>Cross Subsidy surcharge,</li> <li>Additional surcharge, if any</li> <li>Stand-by charges, if any</li> <li>Imbalance and Reactive Energy Charges, as applicable.</li> <li>Scheduling and system Operating Charges, as applicable</li> <li>Transmission Charge (Intra-State and Inter State).</li> </ul> | <ul> <li>Distribution loss in kind at relevant voltage level.</li> <li>Transmission Loss in kind. (Intra-State and Inter State).</li> </ul> |



| 5. |                         | Both within<br>the same<br>Distribution<br>licensee                            | Concerned<br>Distribution<br>Licensee | 50000  | 25000 | Proof of payment of Application fee,     PPA or Sale-purchase agreement of power,     In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of MTOA.   | 20 | Wheeling Charge     Cross Subsidy surcharge,     Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.   | Distribution loss in kind at relevant voltage level.   |
|----|-------------------------|--|---------------------------------------|--------|-------|--|----|--|--|
| 6. | Medium-Term Open Access | Both within<br>the Same<br>State but<br>different<br>Distribution<br>Licensees | STU                                   | 100000 | 50000 | Proof of payment of Application fee,     PPA or Sale-purchase agreement of power,     In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of MTOA,     Consent from concerned distribution licensees | 40 | Wheeling Charge     Cross Subsidy surcharge, Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system Operating Charges as applicable.     Transmission charge(Intra-State)   | Distribution loss in kind at relevant voltage level in respect of both Distribution Licensees     Transmission loss in kind. (Intra-State) |
| 7. | W                       | Injection point in the intra- State transmissio n system within the State      | STU                                   | 100000 | 50000 | Proof of payment of Application fee, PPA or Sale-purchase agreement of power, In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of MTOA, Consent from concerned Distribution licensees             | 40 | Wheeling Charge     Cross Subsidy surcharge, Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system operating Charges, as applicable.     Transmission charge (Intra-State) | Distribution loss in kind at relevant voltage level.     Transmission Loss in kind. (Intra-State)  |



|     | 1                | 1   | ı                                     | ı      | 1      |  | 1   |   |  |
|-----|------------------|---|---------------------------------------|--------|--------|--|---|---|--|
| 8.  |                  | In different<br>States  | СТИ                                   | 100000 | 100000 | Proof of payment of Application fee, PPA or Sale-purchase agreement of power, In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of MTOA, Consent from concerned SLDCs and Distribution licensees, as applicable.     | As per Central<br>Commission's Regulation   | Wheeling Charges     Cross Subsidy surcharge,     Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive     Energy Charges, as applicable.     Scheduling and system     Operating Charges, as applicable     Transmission Charge     (Intra-State and Inter State). | Distribution loss in kind at relevant voltage level.     Transmission Loss in kind. (Intra-State and Inter State).                         |
| 9.  | Iccess           | Both within<br>the same<br>Distribution<br>licensee             | Concerned<br>Distribution<br>Licensee | 50000  | 25000  | <ul> <li>Proof of payment of<br/>Application fee,</li> <li>PPA or Sale-purchase<br/>agreement of power,</li> <li>In case of generating<br/>station not already<br/>connected to grid,<br/>documentary evidence<br/>for completion of the<br/>connectivity showing<br/>that the same shall be<br/>completed before<br/>intending date of LTA</li> </ul> | 20  | Wheeling Charge     Cross Subsidy surcharge, Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.  | Distribution loss in kind at relevant voltage level.   |
| 10. | Long Term Access | Both within the same State but different Distribution Licensees | STU                                   | 100000 | 50000  | Proof of payment of Application fee, PPA or Sale-purchase agreement of power, In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of LTA, Consent from concerned distribution licensees                                | 120 days where augmentation of transmission system is not required     150 days, where Augmentation of transmission system is required. | Wheeling Charge     Cross Subsidy surcharge, Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system Operating Charges as applicable.     Transmission charge(Intra-State)                                    | Distribution loss in kind at relevant voltage level in respect of both Distribution Licensees     Transmission loss in kind. (Intra-State) |



| 11. | Injection point in the intra-State transmission system within the State | STU | 100000 | 50000  | Proof of payment of Application fee, PPA or Sale-purchase agreement of power, In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of LTA, Consent from concerned Distribution licensee                          | <ul> <li>120 days where augmentation of transmission system is not required.</li> <li>150 days, where augmentation of transmission system is required.</li> </ul> | Wheeling Charge     Cross Subsidy surcharge,     Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system Operating Charges, as applicable.     Transmission charge (Intra-State)                  | Distribution loss in kind at relevant voltage level.     Transmission Loss in kind. (Intra-State)   |
|-----|---|-----|--------|--------|---|---|---|---|
| 12. | In different<br>States  | СТИ | 100000 | 100000 | Proof of payment of Application fee, PPA or Sale-purchase agreement of power, In case of generating station not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of LTA, Consent from concerned SLDCs and Distribution licensees as applicable | As per Central Commission's Regulation  | Wheeling Charges     Cross Subsidy surcharge,     Additional surcharge, if any     Stand-by charges, if any     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system Operating Charges, as applicable     Transmission Charge (Intra-State and Inter State). | <ul> <li>Distribution loss in kind at relevant voltage level.</li> <li>Transmission Loss in kind. (Intra-State and Inter State).</li> </ul> |



#### **Table 2 Consumers Connected to Intra- State Transmission System.**

| S.No | Period                 | Inter-se<br>location of<br>drawal and   | Nodal agency  | Application fee<br>(Rs.)                    |  | Documents to<br>accompany<br>the application  | Time frame for<br>disposal of<br>application  | Applicable Charges   | Applicable Losses  |
|------|------------------------|---|---|---|--|---|---|--|--|
|      |                        | injection<br>point  |   | For<br>capacity<br>of 10<br>MW and<br>above | For<br>capacity<br>less<br>than 10<br>MW |   |   |  |  |
| 1    | s                      | Both within<br>the same<br>State (in the<br>intra- State<br>transmission<br>system) | SLDC  | 5000  | 5000                                     | Proof of payment of<br>Application fee.   | 7 working days in case STOA applied for first time.     3 working days on subsequent STOA applications.                                   | <ul> <li>Imbalance and Reactive Energy Charges, as applicable.</li> <li>Scheduling and system Operating Charges, as applicable</li> <li>Transmission Charge (Intra-State),</li> <li>Wheeling Charge, if applicable (ie. If fixed charge being paid by consumer could be attributed to wheeling/ distribution network related cost)</li> </ul>                  | • Transmission Loss in kind. (Intra-State).  |
| 2.   | Short-Term Open Access | Injection point in the distribution system within the State                         | SLDC  | 5000  | 5000                                     | <ul> <li>Proof of payment of Application fee,</li> <li>Consent from concerned Distribution licensee.</li> </ul> | <ul> <li>7 working days in case</li> <li>STOA applied for first time.</li> <li>3 working days on subsequent STOA applications.</li> </ul> | <ul> <li>Wheeling Charges, as applicable.</li> <li>Imbalance and Reactive Energy Charges, as applicable.</li> <li>Scheduling and system Operating Charges, as applicable</li> <li>Transmission Charge (Intra-State).</li> </ul>  | <ul> <li>Distribution loss in kind at relevant voltage level.</li> <li>Transmission Loss in kind. (Intra-State).</li> </ul>                                |
| 3.   |                        | In different<br>States  | RLDC of the<br>region where<br>consumer is<br>located | 5000  | 5000                                     | Proof of payment of Application fee,     Consent from concerned SLDCs and Distribution licensee as applicable.  | As per Central<br>Commission's Regulation   | <ul> <li>Imbalance and Reactive Energy Charges, as applicable.</li> <li>Scheduling and system Operating Charges, as applicable.</li> <li>Transmission Charge (Intra-State and inter-State).</li> <li>Wheeling Charge, if applicable (i.e. If fixed charge being paid by consumer could be attributed to wheeling/distribution network related cost)</li> </ul> | <ul> <li>Distribution loss in kind at relevant voltage level, if applicable.</li> <li>Transmission Loss in kind. (Intra-State and Inter State).</li> </ul> |



|    |                         | =                          |     |        |        | T  |   |   |   |
|----|-------------------------|----------------------------|-----|--------|--------|--|---|---|---|
| 4. |                         | Both within                | STU | 100000 | 50000  | <ul> <li>Proof of payment of</li> </ul>  | 20  | Imbalance and Reactive  |   |
|    |                         | the same                   |     |        |        | Application fee,   |   | Energy Charges, as applicable.  | kind. (Intra-State).  |
|    |                         | State (in the intra- State |     |        |        | <ul> <li>PPA or Sale-purchase</li> </ul>   |   | <ul> <li>Scheduling and system</li> </ul>   |   |
|    |                         | transmission               |     |        |        | agreement of power,  |   | Operating Charges, as   |   |
|    |                         | system)                    |     |        |        | In case of generating  |   | applicable  |   |
|    |                         | System,                    |     |        |        | station or consumer not  |   | • Transmission Charge   |   |
|    |                         |                            |     |        |        | already connected to   |   | (Intra-State).  |   |
|    |                         |                            |     |        |        | grid, documentary  |   | Wheeling Charge, if   |   |
|    |                         |                            |     |        |        | evidence for completion  |   | applicable (i.e. If fixed charge  |   |
|    |                         |                            |     |        |        | of the connectivity  |   | being paid by consumer could  |   |
|    |                         |                            |     |        |        | showing that the same  |   | be attributed to wheeling/  |   |
|    |                         |                            |     |        |        | shall be completed   |   | distribution network related  |   |
|    |                         |                            |     |        |        | before intending date of   |   | cost)   |   |
|    |                         |                            |     |        |        | MTOA.  |   |   |   |
| 5. |                         | Injection                  | STU | 100000 | 50000  | Proof of payment of  | 20  | Wheeling Charges, as  | Distribution loss in  |
| ٥. |                         | point in the               | 310 | 10000  | 30000  | Application fee,   | 20  | applicable,   | kind at relevant  |
|    | v,                      | distribution               |     |        |        | PPA or Sale-purchase   |   | Imbalance and Reactive  | voltage level.  |
|    | ses                     | system                     |     |        |        | · •  |   | Energy Charges, as applicable.  | Transmission Loss in  |
|    | γcc                     | within the                 |     |        |        | agreement of power,  |   | <ul> <li>Scheduling and system</li> </ul>   | kind. (Intra-State).  |
|    | , u                     | State                      |     |        |        | In case of generating  |   |   | killu. (Illua-State).   |
|    | ed (                    |                            |     |        |        | station or consumer not  |   | ,   |   |
|    | υc                      |                            |     |        |        | already connected to   |   | applicable  |   |
|    | ern                     |                            |     |        |        | grid, documentary  |   | Transmission Charge   |   |
|    | Ţ                       |                            |     |        |        | evidence for completion  |   | Intra-State).   |   |
|    | Medium-Term Open Access |                            |     |        |        | of the connectivity  |   |   |   |
|    | iþe                     |                            |     |        |        | showing that the same  |   |   |   |
|    | ž                       |                            |     |        |        | shall be completed   |   |   |   |
|    |                         |                            |     |        |        | before intending date of   |   |   |   |
|    |                         |                            |     |        |        | MTOA,  |   |   |   |
|    |                         |                            |     |        |        | • Consent from   |   |   |   |
|    |                         |                            |     |        |        | concerned Distribution   |   |   |   |
|    |                         |                            |     |        |        | licensee.  |   |   |   |
| 6. |                         | In different               | CTU | 100000 | 100000 | <ul> <li>Proof of payment of</li> </ul>  | As per Central                            | • Imbalance and Reactive  | <ul> <li>Distribution loss in</li> </ul>                                    |
|    |                         | States                     |     |        |        | Application fee,   | Commission's Regulation                   | Energy Charges, as applicable.  | kind at relevant  |
|    |                         |                            |     |        |        | PPA or Sale-purchase   |   | <ul> <li>Scheduling and system</li> </ul>   | voltage level, if   |
|    |                         |                            |     |        |        | agreement of power,  |   | Operating Charges, as   | applicable.   |
|    |                         |                            |     |        |        | In case of generating  |   | applicable.   | • Transmission Loss in  |
|    |                         |                            |     |        |        | station or consumer not  |   | • Transmission Charge   | kind. (Intra-State and  |
|    |                         |                            |     |        |        | already connected to   |   | (Intra-State and inter-State).  | Inter State).   |
|    |                         |                            |     |        |        | grid, documentary  |   | Wheeling Charge, if   | ,   |
|    |                         |                            |     |        |        | evidence for completion  |   | applicable (i.e. If fixed charge  |   |
|    |                         |                            |     |        |        | of the connectivity  |   |   |   |
|    |                         |                            |     |        |        | ,  |   |   |   |
| 6. |                         |                            | СТИ | 100000 | 100000 | <ul> <li>Proof of payment of Application fee,</li> <li>PPA or Sale-purchase agreement of power,</li> <li>In case of generating station or consumer not already connected to grid, documentary evidence for completion</li> </ul> | As per Central<br>Commission's Regulation | Energy Charges, as applicable.  • Scheduling and system Operating Charges, as applicable.  • Transmission Charge (Intra-State and inter-State). | voltage level, if applicable. • Transmission Loss in kind. (Intra-State and |



|    |                   | Dath will   | CT. | 20022  | 100000 | shall be completed before intending date of MTOA.  • Consent from concerned SLDCs and Distribution licensee, as applicable  | 120   | distribution network related cost)  |  |
|----|-------------------|---|-----|--------|--------|---|---|---|--|
| 7. | SS                | Both within<br>the same<br>State (in the<br>intra- State<br>transmission<br>system) | STU | 200000 | 100000 | Proof of payment of Application fee, Bank Guarantee, PPA or Sale-purchase agreement of power, In case of generating station or consumer not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of LTA.   | <ul> <li>120 days where augmentation of transmission system is not required.</li> <li>150 days, where augmentation of transmission system is required.</li> </ul> | Imbalance and Reactive Energy Charges, as applicable. Scheduling and system Operating Charges, as applicable Transmission Charge (Intra-State). Wheeling Charge, if applicable (ie. If fixed charge being paid by consumer could be attributed to wheeling/distribution network related cost) | Transmission Loss in kind. (Intra-State).  |
| 8. | Long -Term Access | Injection point in the distribution system within the State                         | STU | 200000 | 100000 | Proof of payment of Application fee, Bank Guarantee, PPA or Sale-purchase agreement of power, In case of generating station or consumer not already connected to grid, documentary evidence for completion of the connectivity showing that the same shall be completed before intending date of LTA, Consent from concerned Distribution licensee. | <ul> <li>120 days where augmentation of transmission system is not required.</li> <li>150 days, where augmentation of transmission system is required.</li> </ul> | Wheeling Charges, as applicable,     Imbalance and Reactive Energy Charges, as applicable.     Scheduling and system Operating Charges, as applicable     Transmission Charge (Intra-State).  | Distribution loss in kind at relevant voltage level.     Transmission Loss in kind. (Intra-State). |



| 9. | In  | different | CTU | 200000 | 200000 | <ul> <li>Proof of payment of</li> </ul> | As per           | Central   | • Imbalance and Reactive                  | <ul> <li>Distribution loss in</li> </ul> |
|----|-----|-----------|-----|--------|--------|---|------------------|-----------|---|--|
|    | Sta | ates      |     |        |        | Application fee,                        | Commission's Reg | julation. | Energy Charges, as applicable.            | kind at relevant                         |
|    |     |           |     |        |        | Bank Guarantee,                         |                  |           | <ul> <li>Scheduling and system</li> </ul> | voltage level, if                        |
|    |     |           |     |        |        | PPA or Sale-purchase                    |                  |           | Operating Charges, as                     | applicable.                              |
|    |     |           |     |        |        | agreement of power,                     |                  |           | applicable.                               | <ul> <li>Transmission Loss in</li> </ul> |
|    |     |           |     |        |        | In case of generating                   |                  |           | <ul> <li>Transmission Charge</li> </ul>   | kind. (Intra-State and                   |
|    |     |           |     |        |        | station or consumer not                 |                  |           | (Intra-State and inter-State).            | Inter State).                            |
|    |     |           |     |        |        | already connected to                    |                  |           | <ul> <li>Wheeling Charge, if</li> </ul>   |  |
|    |     |           |     |        |        | grid, documentary                       |                  |           | applicable (i.e. If fixed charge          |  |
|    |     |           |     |        |        | evidence for completion                 |                  |           | being paid by consumer could              |  |
|    |     |           |     |        |        | of the connectivity                     |                  |           | be attributed to wheeling/                |  |
|    |     |           |     |        |        | showing that the same                   |                  |           | distribution network related              |  |
|    |     |           |     |        |        | shall be completed                      |                  |           | cost).                                    |  |
|    |     |           |     |        |        | before intending date of                |                  |           |   |  |
|    |     |           |     |        |        | LTA,                                    |                  |           |   |  |
|    |     |           |     |        |        | • Consent from                          |                  |           |   |  |
|    |     |           |     |        |        | concerned STU and                       |                  |           |   |  |
|    |     |           |     |        |        | Distribution licensee, as               |                  |           |   |  |
|    |     |           |     |        |        | applicable.                             |                  |           |   |  |



#### 14. Procedure for Long Term Access

#### (1) Involving inter-State transmission system

Notwithstanding anything contained in sub-regulation (2) and (3) herein below, procedure for inter-State long-term Access shall be as per Central Electricity Regulatory Commission (Grant of Connectivity, Long-term Access and Medium-term Open Access in inter-State Transmission and related matters) Regulations 2009 or its statutory re-enactments as amended from time to time:

Provided that in respect of a consumer connected to a distribution system seeking inter-State long-term access, the SLDC, before giving its consent to the RLDC as required under the Central Commission's Regulations, shall require the consumer to submit the consent of the distribution licensee concerned.

#### (2) Involving only intra-State transmission system

Subject to the provisions of sub-regulation (1) herein above, intra-State long-term Access involving intra-State transmission system shall be in accordance with the provisions of clause (a) herein below.

(a) The application for grant of long-term access shall contain details such as name of the entity or entities from whom electricity is proposed to be procured along with the quantum of power and such other details as may be laid down by the State Transmission Utility in the detailed procedure:

Provided that in case augmentation of transmission system is required, the applicant shall also have to bear the transmission charges for the same as per 2<sup>nd</sup> proviso of sub-regulation (1) of Regulation 21 contained in chapter 5 of these regulations:

Provided further that in cases where there is any material change in location of the applicant or change by more than 10 percent in the quantum of power to be interchanged using the

intra-State transmission system, a fresh application shall be made, which shall be considered in accordance with these regulations.

- (b) The applicant shall submit any other information sought by the nodal agency including the basis for assessment of power to be interchanged using the intra-State transmission system and power to be transmitted to or from various entities or regions to enable the nodal agency to plan the intra-State transmission system in a holistic manner.
- (c) The application shall be accompanied by a bank guarantee of Rs 10,000/- (ten thousand) per MW of the total power to be transmitted. The bank guarantee shall be in favour of the nodal agency, in the manner laid down under the detailed procedure.
- (d) The bank guarantee of Rs. 10,000 /- (ten thousand) per MW shall be kept valid and subsisting till the execution of the long-term access agreement, in the case when augmentation of transmission system is required, and till operationalisation of long-term access when augmentation of transmission system is not required.
- (e) The bank guarantee may be encashed by the nodal agency, if the application is withdrawn by the applicant or the longterm access rights are relinquished prior to the operationalisation of such rights when augmentation of transmission system is not required.
- (f) The aforesaid bank guarantee will stand discharged with the submission of another bank guarantee required to be given by the applicant to the State Transmission Utility during construction phase when augmentation of transmission

system is required, in accordance with the provisions in the detailed procedure.

(g) On receipt of the application, the nodal agency shall, in consultation and through coordination with other agencies involved in intra-State transmission system to be used, process the application and carry out the necessary system studies as expeditiously as possible so as to ensure that the decision to grant long-term access is arrived at within the timeframe specified in sub-regulation (2) of Regulation 13 above:

Provided that in case the nodal agency faces any difficulty in the process of consultation or coordination, it may approach the Commission for appropriate directions.

- (h) Based on the system studies, the nodal agency shall specify the intra- State transmission system that would be required to give long-term access. In case augmentation to the existing intra-State transmission system is required, the same will be intimated to the applicant.
- (i) While granting long-term access, the nodal agency shall communicate to the applicant, the date from which long-term access shall be granted and an estimate of the transmission charges including additional transmission charges, if any, for works pertaining to augmentation of transmission system as per 1st proviso of sub-regulation (a) above, likely to be payable based on the prevailing costs, prices and methodology of sharing of transmission charges specified by the Commission subject to approval by the Commission in accordance with 2nd proviso of sub-regulation (1) of Regulation 21 contained in Chapter 5 of these regulations.

- (j) The applicant shall sign an agreement for long-term access with the State Transmission Utility in case long-term access is granted by the State Transmission Utility, in accordance with the provision as may be provided in the detailed procedure. While seeking long-term access to an intra-State transmission licensee, other than the State Transmission Utility, the applicant shall sign a tripartite long-term access agreement with the State Transmission Utility and the intra-State transmission licensee. The long-term access agreement shall contain the date of commencement of longterm access, the point of injection of power into the grid and point of drawal from the grid and the details of dedicated transmission lines, if any, required. In case augmentation of transmission system is required, the long-term access agreement shall contain the time line for construction of the facilities of the applicant and the transmission licensee, the bank guarantee required to be given by the applicant and other details in accordance with the detailed procedure.
- (k) Immediately after grant of long-term access, the nodal agency shall inform the State Load Despatch Centre so that it can consider the same while processing requests for grant of short-term open access, received under these regulations.
- (I) On the expiry of the period of long-term access, the same shall stand extended on a written request by the consumer, to the Sate Transmission Utility, submitted at least six months prior to such expiry, mentioning the period for which extension is required:

Provided that in case no written request is received from the customer within the timeline specified above, the said long-term

access shall stand terminated on the date up to which it was initially granted.

#### (3) Within same distribution system

The procedure specified in sub-regulation (2) above shall, mutatis mutandis, apply to cases of long-term access when the point of injection and the point of drawal are located in the same distribution system.

#### 15. Procedure for medium-term open access

#### (1) Involving inter-State transmission system

Notwithstanding anything contained in sub-regulation (2) and (3) herein below, procedure for inter-State medium-term open access shall be as per Central Electricity Regulatory Commission (Grant of Connectivity, Long-term Access and Medium-term Open Access in inter-State Transmission and related matters) Regulations, 2009 or its statutory re-enactment as amended from time to time:

Provided that in respect of a consumer connected to a distribution system seeking inter-State medium-term open access, the SLDC, before giving its consent to the RLDC as required under the Central Commission's regulations, shall require the consumer to submit the consent of the distribution licensee concerned.

#### (2) Involving only intra-State transmission system

Subject to the provisions of sub-regulation (1) herein above, intra-State medium-term open access involving intra-State transmission system shall be in accordance with the provisions of sub-regulation (a) to (g) herein below:

(a) The application for grant of medium-term open access shall contain such details as may be laid down under the detailed procedure and shall, in particular, include the point of injection into the grid, point of drawal from the grid and the quantum of power for which medium-term open access has been applied for.

- (b) The start date of the medium-term open access shall not be earlier than 5 months and not later than 1 year from the last day of the month in which application has been made.
- (c) On receipt of the application, the nodal agency shall, in consultation and through coordination with other agencies involved in intra-State transmission, process the application and carry out the necessary system studies as expeditiously as possible so as to ensure that the decision to grant or refuse medium-term open access is made within the timeframe specified in sub-regulation (2) of Regulation 13 herein above:

Provided that in case the nodal agency faces any difficulty in the process of consultation or coordination, it may approach the Commission for appropriate directions.

(d) On being satisfied that the requirements, with respect to criteria for granting medium-term open access specified under sub-regulation (2) of Regulation 11 are met, the nodal agency shall grant medium-term open access for the period stated in the application:

Provided that for reasons to be recorded in writing, the nodal agency may grant medium-term open access for a period less than that sought for by the applicant:

(e) The applicant shall sign an agreement for medium term open access with the State Transmission Utility, in accordance with the provision as may be made in the detailed procedure. While seeking medium-term open access to an intra-State transmission licensee, other than the State Transmission Utility, the applicant shall sign a tripartite medium-term open access agreement with the

State Transmission Utility and the intra-State transmission licensee. The medium–term open access agreement shall contain the date of commencement and end of medium-term open access, the point of injection of power into the grid and point of drawal from the grid, the details of dedicated transmission lines required, if any, the bank guarantee required to be given by the applicant and other details in accordance with the detailed procedure.

- (f) Immediately after grant of medium-term open access, the nodal agency shall inform the State Load Despatch Centre so that it can consider the same while processing requests for short- term open access received under these regulations.
- (g) On expiry of the period of the medium-term open access, the medium-term customer shall not be entitled to any overriding preference for renewal of the term.

#### (3) Within same distribution system

The procedure specified in sub-regulation (2) above shall, mutatis mutandis, apply to cases of medium-term open access when the point of injection and the point of drawal are located in the same distribution system.

#### 16. Procedure for short term Open Access

#### (1) Involving inter-State transmission system

Notwithstanding anything contained in sub-regulation (2) to (3) herein below, procedure for inter-State short- term Open Access shall be as per Central Electricity Regulatory Commission (Open Access in inter-State Transmission) Regulations, 2008, or its statutory reenactments, as amended from time to time:

Provided that in respect of a consumer connected to a distribution system seeking inter-State short-term open access, the SLDC, before

giving its consent to the RLDC as required under the Central Commission's regulations, shall require the consumer to submit the consent of the distribution licensee concerned.

#### (2) Involving only intra-State transmission system

Subject to the provisions of sub-regulation (1) herein above, intra-State short-term Open Access shall be in accordance with the provisions of clause (a) to (f) herein below:

#### (a) Open Access in advance

- (i) Application may be submitted to the Nodal Agency seeking shortterm open access upto the fourth month, considering the month in which an application is made being the first month.
- (ii) Separate application shall be made for each month and for each transaction in a month.
- (iii) The application to the Nodal agency shall be on the prescribed form given in [FORMAT ST-1] containing such details as capacity needed, generation planned or power purchase contracted, point of injection, point of drawal, duration of availing open access, peak load, average load and such other additional information as may be required by the Nodal agency. The application shall be accompanied by a non-refundable application fee in cash or by demand draft in favour of the officer so notified by Nodal agency.
- (iv) An application for grant of open access commencing in any month may be submitted in a cover marked "Application for Short-Term Open Access – in advance" up to 15th day of the preceding month.

For example, application for grant of open access commencing in the month of July shall be received up to 15th day of June.



- (v) Nodal agency shall acknowledge receipt of the application by indicating time and date on "ACKNOWLEDGEMENT" to the applicant.
- (vi) A customer of distribution licensee intending to avail open access shall also furnish a copy of his application to the distribution licensee of his area of supply.
- (vii) Based on the type of transactions Nodal agency shall take a decision on the applications for short-term open access in the manner provided herein below.
- (viii) All applications received under sub-clause (iv) above shall be taken up for consideration together and processed as per allotment priority criteria specified under Regulation 20 of these regulations.
- (ix) Nodal agency shall check transaction for congestion of any element (line and transformer) of transmission and distribution system involved in transaction.
- (x) Nodal agency shall convey grant of open access or otherwise in format [FORMAT-ST2] along with schedule of payments to the consumer latest by 19th day of such preceding month.
- (xi) Nodal agency shall assign specific reasons if open access is denied under sub clause (x).

#### (b) Day-Ahead Open Access

(i) An application for grant of day ahead open access may be received by Nodal agency within three days prior to the date of scheduling but not later than 1000 Hours of the day immediately preceding the day of scheduling for day-ahead transaction.

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- ii) For example, application for day-ahead transaction on 25th day of July shall be received on 22nd day or 23rd day or up to 1000 hours on 24th day of that month.
- (iii) Nodal agency shall check for congestion and convey grant of approval or otherwise in the same format [FORMAT-ST2] as provided in sub-clause (x) of clause (a) of sub Regulation 2 of Regulation 16. All other provisions of application for short-term open access shall apply.

### (c) Bidding Procedure

- (i) If the capacity sought by the customers for Open Access in Advance for the following month is more than the available capacity or SLDC perceives congestion of any element of transmission and distribution system involved in the transaction, the allocation shall be made through electronic bidding procedure.
- (ii) The decision of SLDC in respect of an expected congestion shall be final and binding.
- (iii) SLDC shall convey information of congestion and decision for invitation of bidding indicating floor price on format [FORMAT-ST3] to the applicants.
- (iv) SLDC shall also display bidding information on its website.
- (v) The floor price of transmission and wheeling charges determined on the basis of relevant order of the Commission shall be indicated in FORMAT-ST3.
- (vi) The Bids shall be accepted on format [FORMAT-ST4] up to the "bid closing time" as indicated in bidding invitation FORMAT-ST3. Modification / amendment to a bid, once submitted shall not be entertained.

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- (vii) If any customer does not participate in bidding process, his application shall be deemed to have been withdrawn and shall not be processed.
- (viii) SLDC shall not entertain any request for extension of time/date for submission of bids.
- (ix) The bidders shall quote price (rounded-off to whole number) in denomination in which floor price has been determined.
- (x) The quoted price shall be arranged in descending order and allocation of available capacities shall be accorded in such descending order until the available capacity is exhausted.
- (xi) In case of equal price quoted by two or more customers, the allocation from the residual available capacity at any stage under sub-clause (x) above shall be made in proportion to capacity being sought by such customers.
- (xii) All customers, in favour of whom full capacities have been allotted, shall pay the highest price obtained from bids.
- (xiii) The customers, who are allotted less capacity, shall pay the price as quoted by them.
- (xiv) SLDC shall reject bid which are incomplete, vague in any manner or not found in conformity with bidding procedure.
- (xv) The successful bidder, in favour of whom the capacities have been allocated, shall pay transmission charges, wheeling charges, as the case may be, determined by bidding under sub clause (xii) or (xiii) of this clause.
- **(d)** The reserved capacity by a short-term open access customer is not transferable to others.



- (e) The capacity available as a result of surrender or reduction or cancellation of the reserved capacity by the State Load Dispatch. Centre, may be reserved for any other short-term open access customer in accordance with these regulations.
- **(f)** On expiry of the period of the short-term open access, the short-term customer shall not be entitled to any overriding preference for renewal of the term.

## (3) Within same distribution system

The procedure specified in sub-regulation (2) above, mutatis mutandis, shall apply to cases of short-term open access when the point of injection and the point of drawal are located in the same distribution system.

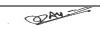
### 17. Consent by STU, SLDC or Distribution Licensee

### (1) Inter-State Open Access

STU in the case of application for grant of long-term access and SLDC in the case of grant of medium-term open access and short-term open access shall convey its consent or otherwise as per the provisions of Central Electricity Regulatory Commission (Grant of Connectivity, Long-term Access and Medium-term Open Access in inter-State Transmission and related matters) Regulations, 2009 and Central Electricity Regulatory Commission (Open Access in inter-State Transmission) Regulations, 2008, respectively or their statutory reenactments, as amended from time to time. In case of applicant connected to the distribution licensee, the said distribution licensee shall convey its consent or otherwise within 3 days of receipt of the request of the applicant.

# (2) Intra-State Open Access

(a) While processing the application seeking consent for intra-State open access, the nodal agency shall verify the following, namely-



- (i) Existence of infrastructure necessary for time-block-wise energy metering and accounting in accordance with the provisions of the State Grid Code in force, and
- (ii) Availability of capacity in the transmission and/or distribution network.
- (b) Where existence of necessary infrastructure and availability of capacity in the transmission and/or distribution network has been established, the nodal agency shall convey its consent to the applicant by e-mail or fax or by any other usually recognised mode of communication, within three (3) working days of receipt of the application.
- (c) In case the nodal agency finds that the application for consent is incomplete or defective in any respect, it shall communicate the deficiency or defect to the applicant by e-mail or fax or by any other usually recognised mode of communication, within two (2) working days of receipt of the application.
- (d) In case the application has been found to be in order but the nodal agency refuses to give consent on the grounds of non-existence of necessary infrastructure or unavailability of surplus capacity in the distribution network, such refusal shall be communicated to the applicant by e-mail or fax or by any other usually recognized mode of communication, within the period of three (3) working days from the date of receipt of the application, along with reasons for such refusal.
- (e) Where the nodal agency has not communicated any deficiency or defect in the application within two (2) days from the date of receipt of application, or refusal or consent within the specified period of three (3) working days from the date of receipt of the application consent shall be deemed to have been granted.

## (3) Within same distribution system

The procedure specified in sub-regulation (2) above, mutatis mutandis, shall apply to applicant seeking consent for intra-State open access when the point of injection and the point of drawal are located in the same distribution system.

### 18. Consideration of applications from defaulters

Notwithstanding anything contained in these regulations, the Nodal Agency shall be at liberty to summarily reject an application for Open Access on the ground of non-compliance of the provisions of these regulations, more specifically the provisions relating to timely payment of the charges leviable hereunder.

# 19. Application by eligible entities other than consumers and generating stations connected to distribution system:

The procedure for submission of the application and processing the same as laid down in this Chapter in respect of the consumers shall, mutatis mutandis, be applicable to the electricity trading licensees, distribution licensees and the generating companies connected to the STU as well. The procedure for submission and processing of Open Access application by a generating company in respect of a generating station connected to distribution system has been specified in Chapter 11 of these Regulations.

# **20.** Allotment Priority

- (1) The priority for allotment of open access in intra-State transmission system shall be decided on the following criteria:
  - (a) A distribution Licensee shall have the highest priority in allotment of open access capacity irrespective of whether the open access request is for long-term, medium-term or short-term.

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- (b) The long-term open access applicants shall have the priority next to the distribution licensee.
- (c) The medium-term open access applicants shall have the priority next to the long- term open access applicants.
- (d) The short-term open access applicants shall have the priority next to the medium- term open access applicants.
- (e) Allotment priority for short-term open access applicants shall be decided subject to capacity availability.
- (f) An existing open access customer shall have the priority higher than new open access applicants under respective category provided the former applies for its renewal thirty days prior to the expiry of existing term of open access.
- (g) When the requirement projected by an applicant is more than the available capacity and the said applicant is not able to limit his requirement to the available capacity, the request of applicant having next lower priority shall be taken up for consideration.

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# CHAPTER 5 OPEN ACCESS CHARGES

# 21. Transmission Charges & Wheeling Charges

### (1) Transmission Charges

Open Access customer using transmission system shall pay the charges as stated hereunder:

- (a) For use of inter-State transmission system As specified by the Central Commission from time to time.
- (b) For use of intra-State transmission system Transmission charges payable to State Transmission Utility/ transmission licensee by an open access customer for usage of their system shall be determined as under:

Transmission Charges =  $ATC/(PLS_T X365)$  (in Rs./MW-day) Where,

**ATC** = Annual Transmission Charges determined by the Commission for the State transmission system for the previous year

 $PLS_T$  = Peak load served by the State transmission system in that year

Provided that transmission charges shall be payable on the basis of contracted Capacity/ Scheduled Load or actual power flow whichever is higher. For Open Access for a part of a day, the transmission charges shall be payable on pro-rata basis:

Provided further that where augmentation of transmission system including dedicated transmission system used for open access has been constructed for exclusive use of or being used exclusively by an open access customer, the transmission charges for such dedicated system shall be worked out by transmission licensee for their respective systems and got approved by the Commission and shall be borne entirely by such open access customer till such time the surplus capacity is allotted and used for by other persons or purposes.



### (2) Wheeling Charges

Wheeling charges payable to distribution licensee, by an open access customer for usage of its system shall be as determined as under:

Wheeling Charges = (ARR - PPC - TC) /(PLS<sub>D</sub> X365) (in Rs./MW-Day) Where,

ARR= Annual Revenue Requirement of the distribution licensee in the previous year

**PPC**= Total Power Purchase Cost of distribution licensee in the previous year

**TC** = Total transmission charges paid by distribution licensee for State and Inter-State transmission system for the previous year

 $PLS_D$ = Total Peak load served by the concerned distribution system in the previous year

Provided that Wheeling charges shall be payable on the basis of contracted Capacity/Scheduled Load or actual power flow whichever is higher. For Open Access for a part of a day, the wheeling charges shall be payable on pro-rata basis:

Provided further that where a dedicated distribution system used for open access has been constructed for exclusive use of an open access customer, the wheeling charges for such dedicated system shall be worked out by distribution licensee for their respective systems and got approved by the Commission and shall be borne entirely by such open access customer till such time the surplus capacity is allotted and used for by other persons or purposes.

# 22. Scheduling and system operation charges

Scheduling and system operation charges shall be payable by the Open Access customers at the following rates:

- (1) In respect of inter-State open access
- (a) Long-term access and Medium-term open access
  - (i) Regional Load Despatch Centre fees and charges including charges for the Unified Load Despatch and Communication



Scheme as specified by the Central Commission under section 28(4) of the Indian Electricity Act, 2003.

- (ii) State Load Despatch Centre charges as specified by the Commission under sub-section (3) of Section 27 of the Act.
- (b) Short-term open access
  - (i) Regional Load Despatch Centre and State load dispatch centre charges as specified by the Central Commission.
- (2) In respect of intra-State open access
- (a) Long-term access and medium-term open access
  - (i) Long-term access and medium-term open access customers shall be liable to pay SLDC charges determined by the Commission under sub-section (3) of section 27 of the Act.
- (b) Short-term open access
  - (i) A composite operating charge @ Rs.2,000/- per day or part of the day shall be payable by a short-term open access customer for each transaction to the SLDC or as determined by the Commission from time to time.

Explanation: The operating charge includes fee for scheduling and system operation, energy accounting, fee for affecting revisions in schedule on bonafide grounds and collection and disbursement of charges.

# 23. Cross subsidy surcharge

(1) If open access facility is availed of by a subsidising consumer of a distribution licensee of the State, then such customer, in addition to transmission and/or wheeling charges, shall pay cross subsidy surcharge determined by the Commission. Cross subsidy surcharge determined on Per Unit basis shall be payable, on a monthly basis, by the open access customers based on the actual



energy drawn during the month through open access. The amount of surcharge shall be paid to the distribution licensee of the area of supply from whom the consumer was availing supply before seeking open access:

Provided that the Commission may fix a lower surcharge in the situation of shortages and load shedding by the distribution licensee:

Provided further that in case power supply position or the consumer load seeking open access changes substantially, the Commission may review the Cross Subsidy Surcharge as and when required.

(2) The cross subsidy surcharge shall be determined in accordance with the following formula specified in National Tariff Policy:

# **Surcharge formula:**

$$S = T - \{C(1+L/100) + D\}$$

Where,

**S** is the cross subsidy surcharge;

**T** is the Tariff payable by the relevant category of consumers;

- **C** is the weighted average cost of power purchase of top 5% at the margin excluding liquid fuel based generation and renewable power.
- **D** is the wheeling charges per kWh basis (to be derived from the wheeling charges in Rs/MW-day referred to in Regulation 21(2).
- **L** is the system losses for the applicable voltage level expressed as a percentage.

Provided that in case the above formula gives negative value of surcharge, the same shall be zero.

# 24. Additional Surcharge

(1) An open access consumer, receiving supply of electricity from a person other than the distribution licensee of his area of supply, shall pay to the distribution licensee an additional surcharge on the charges of wheeling, in addition to wheeling charges and



cross-subsidy surcharge, to meet out the fixed cost of such distribution licensee arising out of his obligation to supply as provided under sub-section (4) of Section 36 of the Act.

- (2) This additional surcharge shall become applicable only if the obligation of the licensee in terms of power purchase commitments has been and continues to be stranded or there is an unavoidable obligation and incidence to bear fixed costs consequent to such a contract. However, the fixed costs related to network assets would be recovered through wheeling charges.
- (3) The distribution licensee shall submit to the Commission, on six monthly basis, a detailed calculation statement of fixed cost which the licensee is incurring towards his obligation to supply.

The Commission shall scrutinize the statement of calculation of fixed cost submitted by the distribution licensee and obtain objections, if any, and determine the amount of additional surcharge:

Provided that any additional surcharge so determined by the Commission shall be applicable only to the new open access consumers.

(4) Additional surcharge determined on Per Unit basis shall be payable, on monthly basis, by the open access customers based on the actual energy drawn during the month through open access:

Provided that such additional surcharges shall not be levied in case distribution access is provided to a person who has established a captive generation plant for carrying the electricity to the destination of his own use.

# 25. Standby charges for drawal of power by open access consumer from distribution licensee

(1) In cases of outages of generator supplying to open access consumer under open access or generator connected to



distribution system injecting power through open access requires start up power, standby arrangements shall be provided by the distribution licensee subject to the load shedding as applicable to consumer of the licensee and the licensee shall be entitled to collect tariff as applicable to Temporary Category of consumer in the prevailing rate schedule:

Provided further that in case of standby arrangements sought by continuous process industries, the licensee shall charge on the basis of actual costs involved in arranging power:

Provided also that open access customers would have the option to arrange standby power from any other source:

Provided further that there shall be no standby charges for embedded consumers.



#### **CHAPTER 6**

#### SCHEDULING, METERING, REVISION AND LOSSES

### 26. Scheduling:

- (1) Notwithstanding anything contained in the succeeding subregulation of this regulation, scheduling of inter-State open access transactions shall be as specified by the Central Commission.
- (2) Subject to the foregoing clause, intra-State open access transactions in respect of all consumers and generating stations irrespective of the capacity shall be scheduled by SLDC in accordance with the provisions of the State Grid Code.

## 27. Metering:

- (1) All open access consumers (except Embedded consumers) and all generating stations irrespective of capacity shall be provided with, Special Energy Meters by the State Transmission Utility or the distribution licensee as the case may be, for and at the cost of the open access customers. For embedded consumers existing ToD meters would be adequate.
- (2) Special Energy Meters installed shall be capable of timedifferentiated measurements for time-block-wise active energy and voltage differentiated measurement of reactive energy in accordance with the State Grid Code.
- (3) Special Energy Meters shall always be maintained in good condition.
- (4) Special Energy Meters shall be open for inspection by any person authorized by the State Transmission Utility or the State Load Despatch Centre.
- (5) All the open access customers shall abide by the metering standards of CEA.



#### 28. Revision:

Revision of scheduled energy shall be permitted in accordance with the provisions of IEGC or the State Grid Code as the case may be.

### 29. Losses:

(1) **Transmission losses**: System transmission losses shall be payable in kind by all open access customers.

### (a) Inter-State transmission

(i) Long-term access and medium-term open access:

The buyers shall bear apportioned energy losses in the transmission system in accordance with the provisions specified by the Central Commission.

### (ii) Short-term Open Access

The buyers and sellers shall absorb apportioned energy losses in the transmission system in accordance with the provisions specified by the Central Commission.

# (b) Intra-State transmission

- (i) The transmission losses for the intra-State system determined by the Commission in tariff orders for the applicable year, shall be apportioned in proportion to the actual energy drawal by the open access customers and shall be payable in kind.
- open access consumers and Captive Power Plants supplying power to its units within the state as determined by the Commission for various voltage levels in tariff orders for the applicable year. Distribution system losses shall also be payable in kind by Generators and Traders who are connected through distribution system of licensee.



Example:

(A) Embedded Generator connected to 33kV Distribution system of the licensee supplying to consumer outside State:

| (i)   | Power injected at interconnecting point     | - 8.00 MW |
|-------|---|-----------|
| (ii)  | Distribution system losses @ 15 %           | - 1.20MW  |
| (iii) | Power injected at transmission level        | - 6.80MW  |
| (iv)  | State transmission system losses @1.86%     | - 0.13 MW |
| (v)   | Net scheduled at state periphery (for SLDC) | - 6.67 MW |
| (vi)  | NR transmission losses@ 4%                  | - 0.27 MW |
| (vii) | Net available to beneficiary                | - 6.40MW  |

Note: In addition to above, transmission losses of other regions shall also be applicable if power is being transmitted outside NR region.

- (B) Consumer of distribution licensee availing power from outside State under open access (say from power exchange):
  - (i) Power drawn by the consumer at his premises (say A) 8 MW
  - (ii) Distribution loss @ 15% (say B)
  - (iii) Power required at distribution periphery (C=A/(1-B)) 9.4 MW
  - (iv) Transmission loss @ 1.86% (say D)
  - (v) Schedule at state periphery (E=C/(1-D)) 9.6 MW
  - (vi) Central transmission losses @ 4% (say F)
  - (vii) Power to be tied up from exchange (G=E/(1-F)) 10 MW

Note: In addition to above, transmission losses of other regions shall also be applicable if power is being drawn from outside NR region. Note: High Voltage rebate provided in relevant tariff order shall be suitably incorporated while fixing distribution loss for open access consumers. The same has been illustrated in the example given below:

#### **Example:**

- (i) High Voltage Rebate for 132 kV (%) = A
- (ii) Average recovery rate from HT Industry category = Rs X/kWh
- (iii) Average Power Purchase Rate = Rs Y/kWh
- (iv) Equivalent loss compensation for rebate (%) = X/Y times of A
- (v) Average loss chargeable to relevant category (%) = B
- (vi) Loss chargeable to consumer getting HV rebate (%) = B- X/Y of A.



#### **CHAPTER 7**

#### **IMBALANCE AND REACTIVE ENERGY CHARGES**

### **30. Imbalance Charge**

- (1) Scheduling of all transactions pursuant to grant of long-term access or medium term open access or short-term open access shall be carried out on day-ahead basis in accordance with the relevant provisions of IEGC for inter-State transactions and in accordance with JKSERC (Grid Code) for intra-State transactions.
- (2) In case of deviation by open access consumers with load of less than 10 MW, the difference between the applicable sanctioned Open Access load and the actual drawal shall be accounted through the Time of Day (ToD) Meters on monthly basis and settled at the rate of the imbalance charge as determined by the Commission (where imbalance charge has not been determined by the Commission, UI rate as determined by the Commission shall be applicable). In case of under drawal as a result of non availability of the distribution system or unscheduled load shedding, the open access consumer shall be compensated by the distribution licensee at the average power purchase cost of the distribution licensee or the contract price of energy for the Open Access consumer, whichever is lower.
- in respect of open access consumers with load of 10 MW and above and the Generating Stations irrespective of the capacity, shall be settled based on the composite accounts for imbalance transactions issued by SLDC on a weekly cycle based on net metering in accordance with the rates specified by the Commission. Where the imbalance charges are not specified by

the Commission, the imbalance charges specified by the Central Commission shall be applicable.

- (4) Payment of imbalance charges shall have a high priority and the concerned constituents (including the licensees or the open customers as the case may be) shall pay the indicated amounts within 10 (ten) days of the issue of the statement, into a State imbalance pool account operated by the SLDC. The person who has to receive the money on account of imbalance charges would then be paid out from the State imbalance pool account, within three (3) working days.
- (5) If payments against the above imbalance charges are delayed by more than two days, i.e., beyond twelve (12) days from the date of issue of statement, the defaulting party shall have to pay simple interest @ 0.04% for each day of delay. The interest so collected shall be paid to the person who had to receive the amount, payment of which got delayed. Persistent payment defaults, if any, shall be reported by the SLDC to the Commission, for initiating remedial action.

# 31. Reactive Energy Charge

In respect of open access customer the payment for the reactive energy charges shall be in accordance with provisions stipulated in the IEGC till such provision is stipulated in State Grid Code.

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#### CHAPTER - 8

#### **COMMERCIAL MATTERS**

#### 32. Billing, Collection and Disbursement

Billing in respect of the charges payable under these regulations shall be made as per the following procedure:

- (1) Inter-State transactions:
- (a) Short-term Open Access
  - (i) Collection and disbursement of transmission charges for use of CTU and STU systems and operating charges payable to RLDCs and SLDCs towards short-term open access shall be made by the nodal RLDC in accordance with the procedure specified by the Central Commission.
  - (ii) The short-term open access customer connected to distribution system of a distribution licensee shall pay to such distribution licensee, the wheeling charges payable to the distribution licensee within 3 days from the grant of the short-term open access by the nodal agency.
- (b) Long-term access and medium- term open access
  - (i) Billing, collection and disbursement of charges payable to RLDC including Unified Load Despatch and Communication Scheme shall be in accordance with the procedure specified by the Central Commission.
  - (ii) Bills towards the charges payable to SLDC shall be raised by the STU/SLDC directly to the open access customer connected to STU and to the distribution licensee in respect of the customers connected to the distribution system, before the 3rd working day of the succeeding calendar month.



- (iii) Distribution licensee shall raise the bill with the open access customer connected to it within 3 days of receipt of bill from SLDC.
- (iv) Open access customer connected to the distribution licensee shall pay the charges within five days of receipt of bill from distribution licensee. The distribution licensee shall disburse the amount payable to STU/SLDC on a monthly basis.
- (v) Open access customer connected to the STU shall pay the bills within five working days of receipt of the bill.

#### (2) **Intra-State transactions**:

- (a) Short-term Open Access
  - (i) The short-term open access customer shall deposit with SLDC the transmission charges and operating charges within 3 working days of grant of the short- term open access by SLDC.
  - (ii) In addition to the above, the short-term open access customer connected to distribution system of a distribution licensee shall also pay to SLDC, the wheeling charges payable to the distribution licensee within 3 days from the grant of the short-term open access by the nodal agency. Such charges would be disbursed to the distribution licensee on a weekly basis.
- (b) Long-term and Medium-Term open access
  - (i) SLDC, transmission licensees and distribution licensee, where applicable, shall communicate to STU the details of the bills due to them by the 3rd day of the succeeding calendar month. STU shall separately indicate the above charges and raise the bill with the open access customer, together with the charges receivable by it, if any, before the

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5th day of the above month. The open access customer shall pay the charges within 7 days from the date of receipt of the bill. STU shall disburse the charges payable to SLDC, transmission licensee and distribution licensee on a monthly basis.

### 33. Late payment surcharge

In case the payment of any bill for charges payable under these regulations is delayed by an open access customer beyond the due date, without prejudice to any action under the Act or any other regulation thereunder, a late payment surcharge at the rate of 1.5% per month shall be levied.

# 34. Default in payment

Non-payment of any charge or sum of money payable by the open access customer under these regulations shall be considered non-compliance of these regulations. The STU or any other transmission licensee or a distribution licensee may discontinue open access after giving customer an advance notice of fifteen days without prejudice to its right to recover such charges by suit. In case of default in payment of charges due to the Load Despatch Centre, the Load Despatch Centre concerned may refuse to schedule power to the defaulting open access customer and direct the licensee concerned to disconnect such customer from the grid.

# 35. Payment Security Mechanism

In case of long-term access and medium-term open access, the applicant for open access will open an irrevocable Letter of Credit in favour of the agency responsible for collection of various charges for the estimated amount of various charges for a period of two months.

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#### **CHAPTER 9**

#### **LIMITED SHORT-TERM OPEN ACCESS**

# 36. Eligibility for Limited short-term open access consumer and conditions to be satisfied

- (1) Subject to the provisions of these regulations, any consumer of the distribution licensee having contracted load of 1 (one) MW or above and connected to the distribution system of licensee at 33 kV or above, seeking open access and are connected through an independent feeder emanating from a grid sub-station of licensee or industrial feeder provided that all the consumers on such industrial feeder, may apply for Limited short-term open access and having simultaneous schedule of drawal under such open access.
- (2) Subject to the provisions of these regulations, in case of any Limited short-term open access consumer, the minimum schedule for drawl of power through open access in each time slot during the day shall not be less than maximum demand recorded during previous month or 80% of its contracted load whichever is higher.

**Explanation**: For the purpose of this clause, "time slot" means each 30 minutes time block which is the minimum period for which the Time-of-day meter is capable of integrating the maximum demand.

# 37. Settlement of Energy at drawal point in respect of Limited shortterm open access consumer

(1) The monthly energy drawal (in kVAh) during the open access period by such consumer shall be calculated from his scheduled drawal and by multiplying it with number of hours of open access availed during the month, as provided in the open access schedule of the consumer:

Provided that such energy drawal shall be at unity power factor.



(2) Such energy drawal through open access shall be deducted from the monthly consumption of energy recorded in his existing meter installed by the distribution licensee for billing purposes.

#### **Example:**

- (a) Contracted load of the such consumer = 10,000 kVA
- (b) Scheduled drawal = 8000 kW
- (c) No. of hours during "Normal Hours i.e. C1 period" during which open access was availed by the consumer during the month=120 hours
- (d) No. of hours during "Peak Hours i.e. C2 period" during which open access was availed by the consumer during the month=180 hours
- (e) No. of hours during "Off Peak Hours i.e. C3 period" during which open access was availed by the consumer during the month=60 hours
- (f) Assessed consumption during "C1 period" for the month during open access period = 8000x120 = 960000 kWh = 960000 kVAh (at unity pf)
- (g) Assessed consumption during "C2 period" for the month during open access period = 8000x180 = 1440000 kWh = 1440000 kVAh (at unity pf)
- (h) Assessed consumption during "C3 period" for the month during open access period = 8000x60 = 480000 kWh = 480000 kVAh (at unity pf)
- (i) Consumption recorded in consumer's meter during "C1 period" for the month, say 1200000 kVAh
- (j) Consumption recorded in consumer's meter during "C2 period" for the month, say 2000000 kVAh
- (k) Consumption recorded in consumer's meter during "C3 period" for the month, say 800000 kVAh
- (I) **Net consumption** during "C1 period" for the month to be accounted by distribution licensee for billing purpose = 1200000-960000= 240000 kVAh
- (m) **Net consumption** during "C2 period" for the month to be accounted by distribution licensee for billing purpose = 2000000-1440000= 560000 kVAh
- (n) **Net consumption during "C3 period**" for the month to be accounted by distribution licensee for billing purpose = 800000-480000= 320000 kVAh
  - (3) Scheduling shall be done in accordance with relevant provisions of IEGC for inter-State transactions and in accordance with relevant provisions of State Grid Code for intra-State transactions.

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# 38. Over-drawal/Under-drawal at drawal point by Limited shortterm open access consumer

Imbalance charges as per Chapter 7 of these regulations and Unscheduled Interchange (UI) charges as specified by the Central Commission shall not be applicable for Limited short-term open access consumer. However, Limited short-term open access consumer shall be liable to pay penalty for drawing excess demand in the manner provided below:

### (1) Over-drawal

In case such open access consumer draws power more than 100% of its contracted load or scheduled power, whichever is more for any time slot during the open access period in a day, it shall be liable for payment of penalty. Rate of penalty for each time slot (Rs./kVA of contracted load) shall be proportionate to the percentage of excess drawal as above and shall be equal to Rs. 0.10 for each percent.

Example:

# Calculation of penalty for one day

| Contracted<br>load (CD in<br>kVA) | Excess<br>drawal<br>(%) | Rate of penalty<br>(Rs./kVA/ time<br>slot) | No. of time slot<br>where power<br>drawn is more<br>than 100% of the<br>CD (TS) | Penalty for each time slot (Rs.) | Total Penalty<br>payable by<br>consumer<br>(Rs.) |
|-----------------------------------|-------------------------|--|---|----------------------------------|--|
|                                   |                         | (0.10 X % excess                           |   | (TS X Rate X CD)                 |  |
|                                   |                         | drawal)                                    |   |                                  |  |
| 10000                             | 11                      | 1.1  | 1   | 11100                            |  |
|                                   | 15                      | 1.5  | 3   | 45000                            | 156100   |
|                                   | 20                      | 2.0  | 5   | 100000                           |  |

# (2) Under-drawal

In case where Limited short-term open access consumer underdraws from the grid, he shall be compensated at average rate for power



purchase cost by distribution licensee as per applicable tariff order for relevant year or contracted price of energy purchase by Open Access consumer, whichever is lower.

Average rate of power purchase cost = [Total power purchase cost / Total units purchased].

#### 39. Charges applicable to Limited Short-term open access consumers

(1) Limited short-term open access consumers shall be exempted from payment of transmission charges, wheeling charges, cross subsidy surcharge and additional surcharge. However, they shall continue to pay other charges namely energy charge, demand/fixed charge, minimum consumption guarantee etc., applicable to them as per the rate schedule in the tariff order:

Provided that energy charges shall be payable at balance energy/consumption calculated as per energy settlement mechanism provided in Regulations 37 and 38 above.

- (2) Limited short-term open access consumers shall also be liable to pay composite operating charges @ Rs.2,000/- per day or part of the day for each transaction to the SLDC or as determined by the Commission from time to time.
- (3) In case inter-State transmission system is used by such consumer in addition intra-State transmission system or distribution system, transmission charges, RLDC charges etc., as fixed and approved by the Central Commission shall be payable for use of inter-State transmission system in addition to payment of charges as per subregulation (1) and (2) above.

# 40. Drawal of power by Limited short-term access consumer from distribution licensee

In cases where supplier fails to supply power, in any time slot, to Limited short-term open access consumer due to any reason, said supplier shall revise his schedule as per provisions of State Grid Code and the same shall be communicated to SLDC and concerned open access customer. The SLDC shall revise the schedule which shall become effective from 2<sub>nd</sub> time slot (counting the time slot in which such eventuality has taken place to be the first one) and the revised schedule shall be provided to distribution licensee. It shall be duty of such open access consumers to draw power as per revised schedule. Drawal of power in excess of the revised schedule by limited short-term open access consumers shall be treated as over-drawal of power by such consumer and shall be liable to pay penalty as per sub-regulation (1) of Regulation 38 of these regulations.

### 41. Nodal Agency for Limited short-term open access customer

The Nodal Agency for Limited short-term open access consumer shall be the State Load Despatch Center and in such case, the State Transmission Utility and/or a transmission licensee and/or a distribution licensee concerned, as the case may be, shall be responsible for coordination with the State Load Despatch Center and provide all relevant information required to take decision for providing open access.

#### 42. Procedure for Grant of Limited Short-term open access customer

The procedure for grant of short-term open access specified in Regulation 16 contained in Chapter 4 shall be applicable for grant of Limited short- term open access also.

#### 43. Metering for Limited short-term open access customer

In case of Limited short-term open access consumers, the existing ToD meter installed at their premises shall serve the purpose for energy accounting and billing.

# 44. Billing and Payment for Limited short-term open access consumers

(1) Limited short-term open access consumers, being a consumer of distribution licensee, shall continue to pay electricity charges billed to him on monthly basis by the distribution licensee subject to adjustments of quantum of energy and other charges for drawal of

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- power during the period of open access provided in Regulation 39 above.
- (2) Distribution licensee shall show these adjustments of quantum of energy and other charges, applicable to such consumer, separately in his electricity bill.

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# CHAPTER 10 EMBEDDED OPEN ACCESS CONSUMERS

# 45. Eligibility for open access to embedded consumers and conditions to be satisfied

Subject to the provisions of these regulations, any consumer of the distribution licensee having contracted load of 1 (one) MW or above and connected to the distribution system of the licensee at 33 kV or above, seeking open access and are connected through an independent feeder emanating from a grid sub-station of licensee or industrial feeder provided that all the consumers on such industrial feeder, may apply for open access and having simultaneous schedule of drawal under such open access:

Provided that the consumers who are not on independent feeders, shall be allowed open access subject to the condition that they agree to rostering restrictions imposed by utility on the feeders serving them:

Provided further that duties of distribution licensee, with respect to such open access consumers, shall be of a common carrier providing non-discriminatory open access as per Section 36(3) of the Act.

# 46. Settlement of Energy at drawal point in respect of Embedded consumers

The mechanism for settlement of energy at drawal point in respect of embedded customers shall be same as that provided for limited short term open access customers in Regulation 37 contained in Chapter 9 of these regulations.

# 47. Charges applicable to Embedded consumers

(1) Embedded open access consumers shall pay transmission charges as determined by the Commission in accordance with the methodology specified in sub-regulation (1) of Regulation 21 contained in Chapter 5 of these regulations.



(2) Embedded open access consumers shall pay wheeling charges as determined by the Commission in the following manner:

 $\label{eq:wc_embedded} \textbf{WC Embedded consumer} = \textbf{WC - [FC*12*1000/365]} \ (\text{in Rs./MW-day})$  Where,

WC Embedded consumer = Net wheeling charges for embedded consumers

**WC** = Wheeling charges as determined by the Commission in accordance with the methodology specified in Regulation 21(2) contained in Chapter 5 of these regulations.

**FC** = Fixed/demand charges in Rs/kVA/month or Rs/kW/month as per applicable rate schedule of the prevailing Tariff Order.

Note: In case Wheeling Charges for embedded consumer worked out as above becomes negative, such charge shall be zero.

- (3) Embedded open access consumers shall pay Cross subsidy surcharge and Additional surcharge as determined by the Commission in accordance with the methodology specified in Regulation 23 & 24 contained in Chapter 5 respectively.
- (4) Embedded open access consumers shall also be liable to pay composite operating charges @ Rs.2,000/- per day or part of the day for each transaction to the SLDC or as determined by the Commission from time to time.
- (5) Besides the above charges in respect of open access, the embedded consumers shall continue to pay other charges namely energy charge, demand/fixed charge, minimum consumption guarantee etc., applicable to them as per the rate schedule in the tariff order:

Provided that energy charges shall be payable at balance energy/consumption calculated as per energy settlement mechanism provided in Regulations 46 above.

(6) In case inter-State transmission system is used by such customer in addition intra-State transmission system or distribution system, transmission charges, RLDC charges etc., as fixed and approved by the Central Commission shall be payable for use of inter-State transmission system in addition to payment of charges as per clauses (1) to (5) above.



### 48. Scheduling for Embedded open access consumers

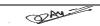
- (1) Scheduling shall be done in accordance with relevant provisions of IEGC for inter-State transactions and in accordance with relevant provisions of State Grid Code for intra-State transactions.
- (2) By 10:00 AM every day, these embedded consumers shall prepare and submit daily schedule of power, in MW, separately showing schedule of power from licensee and that from another supplier through open access for the next day, i.e. from 0000 hrs to 2400 hrs of the day following to SLDC along with copy to distribution licensee.
- (3) Embedded open access consumers shall be liable to pay excess demand charges applicable to them as per the Rate schedule in the Tariff Order.

**Example:** Excess demand charges, applicable to category of consumer, shall be payable if the maximum demand recorded in any month exceeds the contracted load/demand. Such excess load/demand over and above the contracted load/demand shall be levied for excess load/demand penalty.

- a) Contracted load/demand of the consumer seeking open access under embedded open access mechanism = 10MW
- b) Scheduled drawal from distribution licensee = 7MW
- c) Scheduled drawal through open access = 3MW
- d) Maximum demand recorded in any month =12 MW
- e) Excess load/demand liable for excess load/demand penalty for the month = 2MW

# 49. Procedure for grant of short term open access to Embedded consumers:

Application and procedure for grant of open access to embedded consumers shall be as same as applicable to short term open access



customers specified in Regulation 16 contained in Chapter 4 of these regulations. Nodal agency for these consumers shall be SLDC.

#### 50. Metering for Embedded open access consumer

In case of Embedded open access consumers, the existing ToD meter installed at their premises shall serve the purpose for energy accounting and billing.

### 51. Billing and Payment for Embedded open access consumer

- (1) Billing, collection, disbursement and other commercial matters shall be same as applicable to short term open access customers specified in Chapter 8 of these Regulations.
- (2) Embedded open access consumers, being a consumer of distribution licensee, shall continue to pay electricity charges billed to him on monthly basis by the distribution licensee subject to adjustments of quantum of energy and other charges for drawal of power during the period of open access provided in Regulation 46 above.
- (3) Distribution licensee shall show these adjustments of quantum of energy and other charges, applicable to such consumer, separately in his electricity bill.

QAN.

#### **CHAPTER 11**

# OPEN ACCESS TO GENERATING STATION CONNECTED TO DISTRIBUTION SYSTEM

# 52. Application Procedure for Generating Stations connected to distribution system seeking Open Access

Subject to the provisions of the Section 11 of the Act, a generating station connected to a distribution system seeking open access shall have to follow, except in respect of the case covered under Regulation 53 herein below, the same procedure as applicable for a consumer seeking open access under different scenarios, namely long-term access, medium-term open access and short-term open access. Such generating station shall also be liable to pay the same application fee, and other open access charges except the cross subsidy surcharge and additional surcharge as are applicable to a consumer seeking open access.

# 53. Application Procedure for Generating station seeking Open Access within the area of the same distribution licensee

- (1) A generating station seeking open access, only within the area of the same distribution licensee, shall make an application in the prescribed format to the distribution licensee.
- (2) The distribution licensee, while processing the application of such a generating station, shall follow the following procedure:
- (a) While processing the application from a generating station seeking open access, within the area of the same distribution licensee, the distribution licensee shall verify the following, namely:-
  - (i) Existence of infrastructure necessary for time-block-wise energy metering and accounting in accordance with the provisions of the State Grid Code in force, and
  - (ii) Availability of surplus capacity in the distribution network.



- (b) Where existence of necessary infrastructure and availability of surplus capacity in the distribution network has been established, the distribution licensee shall convey its approval within the timelines indicated in sub-regulation (2) of Regulation 13 of these regulations as applicable to open access consumer.
- (c) In case the distribution licensee finds that the application is incomplete or defective in any respect, it shall communicate the deficiency or defect to the applicant by e-mail or fax or by any other usually recognised mode of communication, within two (2) working days of receipt of the application:
- (d) In case the application has been found to be in order but the distribution licensee refuses to give approval for open access on the grounds of non-existence of necessary infrastructure or unavailability of surplus capacity in the distribution network, such refusal shall be communicated to the applicant by e-mail or fax or by any other usually recognized mode of communication, within the period of three (3) working days from the date of receipt of the application, along with reasons for such refusal
- (e) The Generating station shall pay the wheeling charge to the distribution licensee in accordance with sub-regulation (2) of Regulation 21 contained in Chapter 5 of these regulations. The treatment of deemed generation in case of non availability of distribution system shall be settled mutually between the generating station and the distribution licensee.

QAM.

#### **CHAPTER 12**

#### **INFORMATION SYSTEM**

#### 54. Information system

The State Load Dispatch Centre shall post following information on its website in a separate web page titled "Open access information" and also issue a monthly and annual report containing such information.

- (1) A status report on long-term/medium term/short term open access by consumers and generating stations including limited short term open access and open access by embedded consumers indicating:
  - (a) Name of open access consumer/generating station;
  - (b) Period of open access granted (date of commencement and date of termination);
  - (c) Schedule of power from distribution licensee for each day.(applicable to embedded open access consumers)
  - (d) Schedule of power through open access period for each day.

    (applicable to embedded open access consumers)
  - (e) Schedule of open access period for each day (applicable to limited open access consumers).
  - (f) Point of injection;
  - (g) Point of drawal;
  - (h) Transmission system / distribution system used, and
  - (i) Open access capacity used.
- (2) Peak load flows and capacity available including the reserve capacity on all EHV lines and HV lines emanating from EHV sub-stations.
- (3) The information regarding average loss in transmission and distribution system as determined by respective licensees.



## **CHAPTER 13**

# **MISCELLANEOUS**

- 55. Under-Utilisation or Non-Utilisation of open access capacity in intra-State transmission and distribution system
  - (1) Long-term Access: A long-term customer may relinquish the long-term access rights fully or partly before the expiry of the full term of long-term access, by making payment of compensation for stranded capacity as follows:-
  - (a) Long-term customer who has availed access rights for at least 12 years:
    - (i) Notice of one (1) year If such a customer submits an application to the Nodal agency at least 1 (one) year prior to the date from which such customer desires to relinquish the access rights, there shall be no charges.
    - (ii) Notice of less than one (1) year If such a customer submits an application to the Nodal agency at any time lesser than a period of 1 (one) year prior to the date from which such customer desires to relinquish the access rights, such customer shall pay an amount equal to 66% of the estimated open access charges (net present value) for the stranded transmission and/or distribution capacity for the period falling short of a notice period of one (1) year.
  - (b) Long-term customer who has not availed access rights for at least 12 (twelve) years:
    - Such customer shall pay an amount equal to 66% of the estimated open access charges (net present value) for the stranded transmission and/or distribution capacity for the period falling short of 12 (twelve) years of access rights:



Provided that such a customer shall submit an application to the Nodal agency at least 1 (one) year prior to the date from which such customer desires to relinquish the access rights:

Provided further that in case a customer submits an application for relinquishment of long-term access rights at any time at a notice period of less than one year, then such customer shall pay an amount equal to 66% of the estimated open access charges (net present value) for the period falling short of a notice period of one (1) year, in addition to 66% of the estimated open access charges (net present value) for the stranded transmission and/or distribution capacity for the period falling short of 12 (twelve) years of access rights.

- (c) The discount rate that shall be applicable for computing the net present value as referred to in clause (a) & (b) of sub-regulation (1) above shall be the discount rate to be used for bid evaluation in the Central Commission's Notification issued from time to time in accordance with the Guidelines for Determination of Tariff by Bidding Process for Procurement of Power by distribution Licensees issued by the Ministry of Power.
- (d) The compensation paid by the long-term customer for the stranded transmission and/or distribution capacity shall be used for reducing transmission and/or wheeling charges payable by other long-term customers and medium-term customers in the year in which such compensation payment is due in the ratio of open access charges payable for that year by such long- term customers and mediumterm customers.

# (2) Medium-term Open Access customers

A medium-term open access customer may relinquish rights, fully or partly, by giving at least 30 days prior notice to the Nodal agency:

Provided that the medium-term open access customer relinquishing its rights shall pay applicable open access charges for the period of relinquishment or 30 days whichever is lesser.

## (3) Short-term open access customer

(a) The short-term open access schedules accepted by the Nodal agency in advance or day-ahead basis may be cancelled or revised downwards on an application to that effect made to the nodal agency by the short-term open access customer:

Provided that such cancellation or downward revision of the short-term open access schedules shall not be effective before expiry of a minimum period of two (2) days: Provided further that the day on which notice for cancellation or downward revision of schedule is served on the Nodal agency and the day from which such cancellation or downward revision is to be implemented, shall be excluded for computing the period of two (2) days.

- (b) The person seeking cancellation or downward revision of short-term open access schedule shall pay the open access charges for the first two (2) days of the period for which the cancellation or downward revision of schedule, as the case may be, has been sought, in accordance with the schedule originally approved by the nodal agency, and thereafter in accordance with the revised schedule prepared by the Nodal agency during the period of such cancellation or downward revision.
- (c) In case of cancellation, Scheduling and system operation charges specified in Regulations 22 contained in Chapter 5 shall be payable for two (2) days or the period of cancellation in days, whichever is less.

# 56. Computation of capacity availability for open access

- (1) The capacity available for the open access shall be computed for each transmission segment and for every sub-station by the STU following the methodology given below:
- (a) Available open access capacity of a transmission system segment: = (DC-SD-AC)+NC where, DC=Designed capacity of the transmission



segment in MW, SD = Sustained demand in MW recorded in the segment, AC = Already allotted capacity, but not availed in MW and NC = New capacity in MW expected to be added.

- (b) Available open access capacity of a sub-station: = (TC-SP-AC)+NC where, TC= Transformer capacity of the sub-station in MVA, SP= Sub-station peak in MVA, AC= Already allotted capacity but not availed in MVA and New transformer capacity in MVA expected to be added.
- (c) The STU shall update these values on monthly basis on the first calendar day of the month and publish it in their website.
- (2) The appropriate distribution licensee shall determine the available capacity for allotment for the portion of the distribution system over which open access has been requested for.

# **57.** Curtailment Priority

When, because of constraints or otherwise, it becomes necessary to curtail the open access service of the customers, subject to the requirements of State Grid Code, the open access to a distribution licensee shall be the last to be curtailed. Among others, short-term open access customers shall be curtailed first, followed by the medium-term open access customers followed by long-term open access customers. SLDC shall frame guidelines for curtailment of open access customers.

#### 58. Powers to Remove Difficulties

If any difficulty arises in giving effect to any of the provisions of these regulations, the Commission may by general or special order, direct the State Transmission Utility, State Load Dispatch Centre, intra-State licensees and the open access customer, to take such action, as may appear to the Commission to be necessary or expedient for the purpose of removing difficulties.

## 59. Repeal and Savings

(1) Save as otherwise provided in these regulations, the J&K State Electricity Regulatory Commission (Terms and Conditions for Open



Access in Intra-State Transmission and Distribution) Regulations, 2006, shall stand repealed from the date of commencement of these regulations.

- (2) Notwithstanding such repeal, anything done or purported to have been done under the repealed regulations shall be deemed to have been done or purported to have been done under these regulations.
- (3) Open access customers to the intra-State transmission system and the distribution system in the State on the date of coming into force of these regulations under an existing agreement / contract shall be entitled to continue to avail such access to the transmission and distribution system. However, the terms and conditions, as stipulated under these Regulations shall be applicable from one month after the notification of these Regulations.

By Order of the Commission

Secretary

Jammu and Kashmir State Electricity Regulatory Commission



FORMATS for Short-Term
FORMAT- ST1

#### **APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS**

(to be submitted by the customer to SLDC)

To: Executive Engineer (SLDC),

| 1  | Customer Application No.    | Date                              |   |  |  |
|----|-----------------------------|-----------------------------------|---|--|--|
| 2  | Period of Transaction       |                                   |   |  |  |
| 3  | Nature of Customer*         | < seller/buyer/captive us user) > | er/trader(on behalf of seller/buyer/captive |  |  |
| *c | * In towns of name transfer |                                   |   |  |  |

<sup>&</sup>lt;\* In terms of power transfer>

| 4 | Customer Name     |             |  |
|---|-------------------|-------------|--|
| 5 | Registration Code | Valid up to |  |

<sup>&</sup>lt; Registration code shall be as provided by SLDC >

| 6 | Details of Transaction Party's to Grid |                  |               |
|---|--|------------------|---------------|
|   |  | Injecting Entity | Drawee Entity |
|   | Name of Entity                         |                  |               |
|   | Status of Entity*                      |                  |               |
|   | Utility in which it is Embedded        |                  |               |

<sup>&</sup>lt; \* In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

| 7 | Details of Injecting/Drawee Connectivity with intra-State System |              |                  |               |  |
|---|--|--------------|------------------|---------------|--|
|   |  |              | Injecting Entity | Drawee Entity |  |
|   | Name of Sub-station  | Transmission |                  |               |  |
|   |  | Distribution |                  |               |  |
|   | Voltage Level  | Transmission |                  |               |  |
|   |  | Distribution |                  |               |  |
|   | Name of Licensee (Owner of S/S)                                  |              |                  |               |  |
|   | Intervening intra-State Licensee                                 |              |                  |               |  |
|   | Intervening inter-State Licensee                                 |              |                  |               |  |

| 8 | Open Access Sought for ( Period from date to date) |    |            |    |          |  |
|---|--|----|------------|----|----------|--|
|   | Date   |    | Date Hours |    | Capacity |  |
|   | From   | То | From       | То | MW       |  |
|   |  |    |            |    |          |  |
|   |  |    |            |    |          |  |
|   |  |    |            |    |          |  |
|   |  |    |            |    |          |  |

| 9 | Details of PPA/PSA/MoU    |       |                        |                 |        |          |
|---|---------------------------|-------|------------------------|-----------------|--------|----------|
|   | Name & Address of Parties |       | Date of<br>PPA/PSA/MoU | Validity Period |        | Capacity |
|   | Seller                    | Buyer |                        | Commencement    | Expiry | MW       |
|   |                           |       |                        |                 |        |          |

| 10 Details of Non-Refundable Application Fee Made | Details of Non-Refundable Application Fee Made |             |  |  |  |
|---|--|-------------|--|--|--|
| Bank Details Instrument Details                   | Instrument Details                             |             |  |  |  |
| Type(Draft/Cash) Instrument No.                   | Date   | Amount(Rs.) |  |  |  |
|   |  |             |  |  |  |

FORMAT-ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -1 of 3)



- I hereby authorize SLDC to process said application, in case open access capacity allotted, for day-ahead scheduling in accordance with the provisions of intra-State ABT.
- 12 Declaration

  All Entities/Utilities to transaction shall abide by provisions of the J&K Electricity Act, 2010 (the Act), J&KSERC (Terms and Conditions for Intra State Open Access) Regulations,2015 and any other relevant regulation/order/ code as amended from time to time.

Place Date Signature (with stamp)
Name & Designation

#### **Enclosures**

- (1) Non-refundable application fee by Demand draft or cash receipt (if payment by cash).
- (2) Self-certified copy of PPA/PSA/MoU entered between the parties (buyer and seller) of transaction stating contracted power, period of transaction, drawal pattern, point(s) of injection and drawal etc.
- (3) Self-certified copies of concurrence of STU and/or transmission licensee and/or distribution licensee.
- (4) Other relevant documents, if any.

#### Copy to along with relevant enclosures [except (1) & (2) above]:

- (1) Managing Director of transmission licensee involved in transaction.
- (2) Managing Director of distribution licensee involved in transaction.
- (3) Officer in charge of transmission substation involved in transaction.
- (4) Officer in charge of distribution substation involved in transaction.

| For use o SLDC (with Reference to Enrolment of Application) |  |  |  |  |  |
|---|--|--|--|--|--|
| SLDC Reference ID No.                                       |  |  |  |  |  |
| Nodal SLDC Approval No. < if approved >                     |  |  |  |  |  |
| Or Reason of Refusal* (If Refused)                          |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |

< \* SLDC may also enclose supporting documents for the reasons of refusal duly signed on each page >

 $FORMAT\text{-}ST1: APPLICATION\ FOR\ GRANT\ OF\ SHORT\text{-}TERM\ OPEN\ ACCESS\ (Page\ -2\ of\ 3)$ 



#### **ACKNOWLEDGEMENT**

(for office use only)

#### APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS 1

| (A) < to be filled by the | customer > |
|---------------------------|------------|
|---------------------------|------------|

| 1 | Customer Application No. | Date   |
|---|--------------------------|--|
| 2 | Period of Transaction    |  |
| 3 | Nature of Customer*      | < seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) > |

<\* In terms of power transfer>

| 4 | Customer Name     |             |  |
|---|-------------------|-------------|--|
| 5 | Registration Code | Valid up to |  |

<sup>&</sup>lt; Registration code shall be as provided by SLDC >

#### (B) < to be filled by SLDC >

| Date and Time of Receipt of Application |  |
|---|--|
|   |  |

Place Date Signature (with stamp)
Name & Designation

FORMAT-ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -3 of 3)

#### **ACKNOWLEDGEMENT**

(to be issued by SLDC to the customer immediately on receipt of application duly filled in)

#### **APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS**

#### (A) < to be filled by the customer >

| 1 | Customer Application No. | Date   |
|---|--------------------------|--|
| 2 | Period of Transaction    |  |
| 3 | Nature of Customer*      | < seller/buyer/captive user/trader(on behalf of seller/buyer/captive |
|   |                          | user) >  |

<sup>&</sup>lt;\* In terms of power transfer>

| 4 | Customer Name     |             |  |
|---|-------------------|-------------|--|
| 5 | Registration Code | Valid up to |  |

<sup>&</sup>lt; Registration code shall be as provided by SLDC >

#### (B) < to be filled by SLDC >

| Date and Time of Receipt of Application |  |
|---|--|
|   |  |

Place Date Signature (with stamp)
Name & Designation

N.B.: This counterfoil may be scored out and issued to the customer.

FORMAT-ST1: APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS (Page -3 of 3)



FORMAT- ST2

#### APPROVAL FOR SHORT-TERM OPEN ACCESS

(to be issued by SLDC)

|          | Nodal SLDC Approval  | No,                                  |  |  |             | Date         |                 |          |
|----------|--|--------------------------------------|--|--|-------------|--------------|-----------------|----------|
|          |  |                                      |  |  |             |              |                 |          |
| 1        | Customer Application No.   |                                      |  | Date   |             |              |                 |          |
| 2        | Period of Transaction  |                                      |  |  |             |              |                 |          |
| 3        | Nature of Customer*  | < se                                 |  | /captive us                                      | er/trader(d | on behalf of | seller/buyer    | /captive |
| <*       | In terms of power transfer>  | usei                                 | ) ~  |  |             |              |                 |          |
| 4        | Customer Name  |                                      |  |  |             |              |                 |          |
| 5        | Registration Code  |                                      |  | Valid  | up to       |              |                 |          |
| 5        | Registration code shall be as provided Details of Transaction Part   |                                      | Grid   |  |             |              |                 |          |
|          |  |                                      | Inje   | ecting Entity                                    | /           | Drawee       | Entity          |          |
|          | Name of Entity   |                                      |  |  |             |              |                 |          |
|          | Status of Entity*  |                                      |  |  |             | _            |                 |          |
|          | Utility in which it is Embed   | ueu                                  |  |  |             |              |                 |          |
| < *<br>7 | In terms of ownership- State Uti   |                                      |  |  |             |              | r >             |          |
| /        |  | e Conn                               | ectivity wi  | ith intra-Sta                                    | ate System  | 1            |                 |          |
| _        | Details of Injecting, Drawe  | e Conn                               | ectivity wi  | ith intra-Sta                                    |             |              | Dunwas          | atit.    |
|          | 3 3,   | e Conn                               |  |  |             | ting Entity  | Drawee E        | ntity    |
| -        | Name of Sub-station  | e Conn                               | Tra  | nsmission  |             |              | Drawee E        | ntity    |
| _        | Name of Sub-station  | e Conn                               | Tra  | nsmission<br>tribution                           |             |              | Drawee E        | ntity    |
|          | 3 3,   | e Conn                               | Tra<br>Dist  | nsmission<br>tribution<br>nsmission              |             |              | Drawee E        | ntity    |
|          | Name of Sub-station  Voltage Level   |                                      | Tra Disi Tra Disi  | nsmission<br>tribution                           |             |              | Drawee E        | ntity    |
|          | Name of Sub-station  Voltage Level  Name of Licensee (Owner  | of S/S                               | Tra Disi Tra Disi  | nsmission<br>tribution<br>nsmission              |             |              | Drawee E        | ntity    |
|          | Name of Sub-station  Voltage Level   | of S/S)<br>ensee                     | Tra Disi Tra Disi  | nsmission<br>tribution<br>nsmission              |             |              | Drawee E        | ntity    |
|          | Name of Sub-station  Voltage Level  Name of Licensee (Owner Intervening intra-State Licensee)  | of S/S)<br>ensee                     | Tra Disi Tra Disi  | nsmission<br>tribution<br>nsmission              |             |              | Drawee E        | ntity    |
|          | Name of Sub-station  Voltage Level  Name of Licensee (Owner Intervening intra-State Licensee)  | of S/S)<br>ensee                     | Tra Disi Tra Disi  | nsmission<br>tribution<br>nsmission              |             |              | Drawee E        | ntity    |
| 8        | Name of Sub-station  Voltage Level  Name of Licensee (Owner Intervening intra-State Licensee)  | of S/S)<br>ensee<br>ensee            | Tra Dist Tra Dist  | nsmission<br>tribution<br>nsmission<br>tribution | Injec       | ting Entity  | Drawee E        | ntity    |
| 8        | Name of Sub-station  Voltage Level  Name of Licensee (Owner Intervening intra-State Licensee Intervening inter-State Licensee Intervening inter-State Licensee Intervening inter-State Licensee Intervening inter-State Lice | of S/S)<br>ensee<br>ensee<br>(period | Tra Dist Tra Dist Office of the second of th | nsmission<br>tribution<br>nsmission<br>tribution | Injec       | ting Entity  | Revision<br>No. | ntity    |

|       | <b>F</b> . • · • · • · · · · · · · · · · · · · · | (1 | _    |    | ,           | No.      |     |
|-------|--|----|------|----|-------------|----------|-----|
| Month | Da   | te | Hou  | rs | Capacity (M | W)       | MWh |
|       | From   | То | From | То | Applied     | Allotted |     |
|       |  |    |      |    |             |          |     |
|       |  |    |      |    |             |          |     |
|       |  |    |      |    |             |          |     |
|       |  |    |      |    |             |          |     |
|       |  |    |      |    | Total MW    | h        |     |

| 9 | Details of Bidding < only in case of Bidding > |      |    |      |     |                           |  |  |  |  |
|---|--|------|----|------|-----|---------------------------|--|--|--|--|
|   | Details of Intra-State<br>System               | Date |    | Hou  | ırs | Applicable Rate (Rs./kWh) |  |  |  |  |
|   | Transmission System                            | From | То | From | To  | , ,                       |  |  |  |  |
|   |  |      |    |      |     |                           |  |  |  |  |
|   | Distribution System                            |      |    |      |     |                           |  |  |  |  |

FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page -1 of 3)

FORMATS for Short-Term



- 12. The approval is subject to provisions of J&KSERC (Terms and Conditions for Intra State Open Access)
  Regulations, 2015 and any other relevant regulation/order/code as amended and applicable from time to
  time. < only in case of approval >
- 13. No approval is being granted on account of

<only in case of rejection>

< SLDC shall convey specific reasons if open access is denied and may also enclose supporting support the same duly signed on each page >

documents to

capport and came any eighted on calculpage

**Place** 

Signature (with stamp) Date Name & Designation

#### **Enclosures**

(1) Schedule of payments

< only in case of approval >

(2) Other relevant documents, if any.

#### Copy to along with enclosures

- (1) Customer
- (2) Managing Director of transmission licensee involved in transaction.
- (3) Managing Director of distribution licensee involved in transaction.
- (4) Officer in charge of transmission substation involved in transaction.
- (5) Officer in charge of distribution substation involved in transaction.
- (6) Any other concerned.

FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page -2 of 3)



#### **ENCLOSURE to FORMAT- ST2**

#### SCHEDULE OF PAYMENTS

(to be enclosed for each month by SLDC along with FORMAT-ST2)

|    | Nodal SLDC Approval          | No,              |             |            | Date     |                 |          |
|----|------------------------------|------------------|-------------|------------|----------|-----------------|----------|
|    |                              |                  |             |            |          |                 |          |
| 1  | Customer Application No.     |                  | ate         |            |          |                 |          |
| 2  | Period of Transaction        | •                | •           |            |          |                 |          |
| 3  | Nature of Customer*          | < seller/buyer/c | aptive user | /trader(on | behalf o | f seller/buyer, | /captive |
|    |                              | user) >          |             | •          |          |                 | •        |
| <* | In terms of power transfer>  |                  |             |            |          |                 |          |
| 4  | Customer Name                |                  |             |            |          |                 |          |
| 5  | Registration Code            |                  | Valid up    | to to      |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
| 6  | Tentative* Monthly Paymer    |                  | ort-Term O  | pen Access | 5        | Month           |          |
|    | Charges ( Period: date       | to <i>date</i> ) |             |            |          |                 |          |
|    | Payment Chargeable for       |                  | Rate (R     | s./kWh)    | MWh      | Total (Rs.)     |          |
|    | (1) Intra-State Network      |                  |             |            |          |                 |          |
|    | (a) Tansmission Charges      |                  |             |            |          |                 |          |
|    | concerned Transmission Lic   |                  |             |            |          |                 |          |
|    | Intervening intra-State Lice | ensee(if any)    |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
|    | (b) Wheeling Charges         |                  |             |            |          |                 |          |
|    | concerned Distribution Lice  |                  |             |            |          |                 |          |
|    | Intervening intra-State Lice | ensee(if any)    |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
|    | (c) Surcharge                |                  |             |            |          |                 |          |
|    | concerned Distribution Lice  | ensee            |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
|    | (d) Additional Surcharge     |                  |             |            |          |                 |          |
|    | concerned Distribution Lice  | ensee            |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
|    | (e) SLDC Charges             |                  |             |            |          |                 |          |
|    | SLDC                         |                  |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
|    | (2) Inter-State Network      |                  |             |            |          |                 | <u> </u> |
|    | Transmission Charges         |                  |             |            |          |                 |          |
|    | Intervening intra-State Lice | ensee(if any)    |             |            |          |                 |          |
|    |                              |                  |             |            |          |                 |          |
| 1  | Total Monthly Payment Am     | ount (Rs.)       |             |            |          |                 |          |

| Place |  |
|-------|--|
| Date  |  |

Signature (with stamp)
Name & Designation

FORMAT-ST2: APPROVAL FOR SHORT-TERM OPEN ACCESS (Page -3 of 3)



<sup>\*</sup> Tentative on the basis of MWh mentioned in application which may vary on actual operation.

FORMAT- ST3

#### CONGESTION INFORMATION AND INVITATION OF BIDS

|              | DC Bid Invita                       | ation No.                      |           |   | (to      | be in   | vited b  | y SI          |          | Date                       |                                       |             |
|--------------|-------------------------------------|--------------------------------|-----------|---|----------|---------|----------|---------------|----------|----------------------------|---------------------------------------|-------------|
| . 1          |                                     |                                | 1         |   | 1 -      |         |          |               |          |                            |                                       | 1           |
| 2            | Customer Ap<br>Period of Tra        |                                |           |   | D        | ate     |          |               |          |                            |                                       | -           |
| 3            | Nature of Cus                       |                                | < se      | ller/t                                  | ouyer/ca | ptive   | user/t   | rade          | r(on     | behalf of selle            | er/buyer/captive                      | 1           |
|              |                                     |                                | user)     |   | .,,      | F       | , .      |               | (        |                            |                                       |             |
| <* I         | n terms of power                    | transfer>                      |           | _                                       |          |         |          | _             |          |                            |                                       |             |
| 4            | Customer Na                         | me                             |           |   |          |         |          |               |          |                            |                                       | ]           |
|              | Registration (                      |                                |           |   |          | Val     | id up t  | 0             |          |                            |                                       |             |
| 6. 7         | The anticipate                      | ed congestion                  | ı (trans  | sforn                                   | ner and  | electi  | ric line | /lin          | k) is a  | s follow:                  |                                       |             |
|              | twork Corrid                        |                                | ı (tıtılı | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | Conge    |         |          |               | 11) 10 ( | Margin/                    | Total                                 | ]           |
|              |                                     |                                |           |   |          |         |          |               |          | Capacity<br>Available      | Capacity Applied by all the Customers |             |
|              | -station with                       | Electric Li                    |           |   | Date     |         | Hoi      | urs           |          |                            |                                       |             |
|              | nsformation<br>pacity               | with Capa                      | city      |   | From     | То      | Fro      | m             | То       | MW                         | MW                                    |             |
|              | ra- State Tran                      | smission Syst                  | tem       |   |          |         |          |               |          |                            |                                       |             |
|              |                                     |                                |           |   |          |         |          | _             |          |                            |                                       | _           |
| Inti         | a – State Dist                      | ribution Syst                  | em        |   |          |         |          |               |          |                            |                                       |             |
|              |                                     |                                |           |   |          |         |          |               |          |                            |                                       |             |
| T 4          | Chata T                             | i Ct                           |           |   |          |         |          |               |          |                            |                                       |             |
| Inte         | er-State Trans                      | mission Syste                  | em        |   |          |         |          |               |          |                            |                                       |             |
|              |                                     |                                |           |   |          |         |          |               |          |                            |                                       |             |
| <b>7</b> . 1 | In view of ah                       | ove please                     | submit    | · bid                                   | on form  | nat [/  | FORM     | Δ <i>T-</i> 5 | ST41.    | The details                | for bidding are                       | hereunder   |
|              | Bid Invitation                      |                                | <u> </u>  |   | <u> </u> | iiat į, | <u> </u> | Tin           |          |                            |                                       |             |
|              | Bid Submission                      |                                |           |   |          |         |          | Tin           |          |                            |                                       |             |
|              | Bid Opening Dat<br>Bids Invited for | e                              |           |   |          |         |          | Tin           | ne       |                            |                                       |             |
| ` /          | a- State Networ                     | k Corridor                     | Congest   | ion Pe                                  | eriod    |         |          | Ма            | rgin/C   | apacity                    | Floor Price                           |             |
| Sub          | -station El                         | octric                         | Dato      |   | 1.       | loure   |          | Av            | ailable  | for Bidding                |                                       |             |
| Sub          |                                     | ne/Link                        | Date      |   | '        | iours   |          |               |          |                            |                                       |             |
| Man          | oe of Transmissi                    |                                | From      | То                                      | F        | rom     | То       |               |          | MW                         | Rs./kWh                               |             |
| ivail        | ie ur mansiinssi                    | on System                      |           |   |          |         |          |               |          |                            |                                       |             |
| Nan          | o of Distribution                   | n System                       |           |   |          |         |          |               |          |                            |                                       |             |
| ivaii        | וב טו הוצת וחתנוטו                  | ii Systeiii                    |           |   |          |         |          | L             |          |                            |                                       |             |
|              |                                     | Î                              |           |   |          |         |          |               |          |                            |                                       |             |
| Sub          | a- State Networ<br>-station El      | ectric<br>ne/Link<br>on System | Date      |   | F        |         |          | Av            | ailable  | for Bidding  MW            | Rs./kWh                               | ·2W         |
|              |                                     | of non sub<br>processed.       | missio    | n of                                    | bid, ap  | plicat  | cion si  |               |          | eemed to na                | ve been withdi                        | awii aiiu   |
| Pla<br>Dat   | not be p                            |                                | missio    | n of                                    | bid, ap  | plicat  | cion si  |               |          | signature (w<br>Name & Des | ith stamp)                            | awn and .   |
| Dat          | not be p                            | processed.                     |           |   |          |         |          |               | S        | ignature (w<br>Name & Des  | ith stamp)<br>signation               | awn and s   |
| Dat          | not be p<br>ce<br>te                | processed.                     |           |   |          |         |          |               | S        | ignature (w<br>Name & Des  | ith stamp)<br>signation               | awii alia s |



**FORMAT-ST4** 

#### **BID PROPOSAL**

(to be submitted by the customer to SLDC)

|                 | ef: SLDC B            | id Invitation         | No        |                  |          |             | Date                                  |                   |                        |
|-----------------|-----------------------|-----------------------|-----------|------------------|----------|-------------|---------------------------------------|-------------------|------------------------|
| To              | : Executiv            | ve Engineer           | (SLDC)    | ,                |          |             |                                       |                   |                        |
| 1               | Custome               | r Application I       | No.       |                  | [        | Date        |                                       |                   |                        |
| 2               | Period of             | Transaction           | _         |                  |          |             | <b>,</b>                              |                   |                        |
| 3               | Nature of             | f Customer*           |           | seller,<br>er) > | /buyer/c | aptive      | user/trader(on beha                   | lf of seller/buye | er/captive             |
| <*              | In terms of p         | ower transfer>        | •         | •                |          |             |                                       |                   |                        |
| 4               | Customer Name         |                       |           |                  |          |             |                                       |                   |                        |
| 5               | Registrati            | ion Code              |           |                  |          | Va          | lid up to                             |                   |                        |
| 6.              | In refere             | nce to above          | biddin    | g invi           | tation,  | I here      | by submit my bid a                    | s follows:        |                        |
|                 |                       | ils as provided       |           |                  |          |             |                                       |                   | Bid Price to           |
| Co              | tra-State N<br>rridor |                       | Conge     | stion F          |          |             | Margin/Capacity<br>Available for      | Floor Price       | be Quoted by<br>Bidder |
| Su              | b-station             | Electric<br>Line/Link | Date      |                  | Hours    |             | Bidding                               |                   |                        |
|                 |                       |                       | From      | То               | From     | То          | MW                                    | Paise./kWh        | Paise/kWh*             |
|                 | me of T<br>stem       | Fransmission          |           |                  |          |             |                                       |                   |                        |
|                 |                       |                       |           |                  |          |             |                                       |                   |                        |
|                 |                       |                       |           |                  |          |             |                                       |                   |                        |
|                 | me of<br>stem         | Distribution          |           |                  |          |             |                                       |                   |                        |
|                 |                       |                       |           |                  |          |             |                                       |                   |                        |
|                 |                       | l anote price (ro     | unded-off | to who           | le numbe | r) in de    |                                       |                   |                        |
| <*              | Bidder shall          | quote price (10       | unucu-on  | to who           | ic manne | 1 ) III uc. | notation of floor price >             |                   |                        |
|                 |                       |                       |           |                  |          |             |                                       | smission and/     | or wheeling charges    |
| <*<br><b>7.</b> |                       |                       |           |                  |          |             |                                       | smission and/     | or wheeling charges.   |
|                 |                       |                       |           |                  |          |             |                                       | smission and/     | or wheeling charges.   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 |                   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do                  |                       |           |                  |          |             | ice(s) shall be trans                 | ature (with sta   | nmp)                   |
| 7.              | I do<br>ace<br>ate    | hereby agr            | ee that   | deter            | mined I  | bid pri     | ice(s) shall be trans<br>Signa<br>Nan | ature (with sta   | nmp)                   |
| 7.              | I do<br>ace<br>ate    |                       | ee that   | deter            | mined I  | bid pri     | ice(s) shall be trans<br>Signa<br>Nan | ature (with sta   | nmp)                   |
| 7.              | I do<br>ace<br>ate    | hereby agr            | ee that   | deter            | mined I  | bid pri     | ice(s) shall be trans<br>Signa<br>Nan | ature (with sta   | nmp)                   |
| 7.<br>Pla       | I do<br>ace<br>ate    | hereby agr            | ee that   | deter            | mined I  | bid pri     | ice(s) shall be trans<br>Signa<br>Nan | ature (with sta   | nmp)                   |

